### LABOR AGREEMENT

#### BETWEEN

THE CITY OF ALBANY, NEW YORK

AND

ALBANY PERMANENT PROFESSIONAL FIREFIGHTERS ASSOCIATION LOCAL 2007, I.A.F.F., AFL-CIO

Period: January 1, 2012 to December 31, 2026

Incorporation of - IA Award (IA 2013-014; M2012-289)

- MOA: 2014-2017

- MOA: 2018 - 2022

- MOA of 1/1/2023 - 12/31/2026

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#### RECITALS

WHEREAS, it is the desire of both parties to this Agreement to negotiate collectively with regard to terms and conditions of employment in order to avert disputes and secure harmonious cooperation within the limits of the laws of the State of New York.

WHEREAS, the Union affirms that it will not assert the right to strike against the City, to assist or participate in any strike, or to impose an obligation upon its members to conduct, assist or participate in such a strike.

NOW, THEREFORE, in consideration of the mutual covenants and agreements herein contained the parties hereto agree as follows:

### ARTICLE 1 RECOGNITION

- 1.1 The City recognizes the Union as the sole and exclusive representative of all members of the Department of Fire as described herein: Firefighters, Lieutenants and Captains (Local 2007). The Union recognizes the Employer as having jurisdiction over the Department of Fire as is consistent with Chapter 42 Part 3 Article XI of the City Code adopted February 22, 2001 creating the Department of Public Safety.
- 1.2 The City shall extend to the Union, the right to membership dues deduction, pursuant to Section 208 of the Civil Service Law, so long as said Union shall remain the certified bargaining agent for Firefighters, Lieutenants and Captains, said dues to be remitted to the Union within five (5) days of deductions.

#### ARTICLE 2 HOLIDAYS

2.1 Each uniformed member of the Department of Fire shall, regardless of sick time or vacation period, be paid for the following thirteen (13) holidays.

NEW YEAR'S DAY
MARTIN LUTHER KING'S BIRTHDAY
PRESIDENT'S DAY
EASTER SUNDAY
MEMORIAL DAY
JUNETEENTH
INDEPENDENCE DAY

LABOR DAY
COLUMBUS DAY
ELECTION DAY
VETERAN'S DAY
THANKSGIVING DAY
CHRISTMAS DAY

- 2.2 Employees unable to work due to an on the job injury that qualified for Section 207-A benefits shall be entitled to all holiday pay.
- 2.3 All holiday pay shall accumulate and be paid the first pay period in December of the year.
- 2.4 A member of the bargaining unit who calls in sick the work day before, the day of, or the work day after a holiday listed in Section 2.1 of this contract, shall not be paid for that holiday unless illness is verified in writing by a physician, however, such verification need not be obtained prior to the member returning to work. The bargaining unit member shall have the option of producing written verification of illness from either the Department Surgeon, which shall be at the City's sole expense, or from the member's personal physician, which shall be at the member's expense.
- 2.5 Holiday pay shall be added to an employee's base pay for the purpose of calculating the employee's regular rate of pay for overtime purposes. Holiday pay shall be paid in a separate check.

### ARTICLE 3 WORK SCHEDULES

- 3.1.1 A uniformed member's work week shall consist of 24-hour shifts to average 40 hours per week over the course of a year.
- 3.1.2 Uniformed members assigned to the following headquarters positions shall work an 8 hour per day shift Monday

through Friday, averaging 40 hours per week over the course of a year.

Fire Prevention
Fire Investigation

Quartermaster Training Officer

EMS Assistant

- 3.2 Any uniformed member who works more hours in a given week than the maximum provided under Section 1015 of the Unconsolidated Laws of the State of New York shall, within one (1) year at the discretion of the member, receive compensatory time off at one and one-half hours off or be paid for the overtime worked at one and one-half times the regular rate of pay provided that in the event a uniformed member shall be called back to duty, he shall be guaranteed a minimum of two (2) hours pay at one and one-half times the regular rate regardless of the time actually spent on duty.
- 3.2.1 Any uniformed member who receives compensatory time off in lieu of overtime pay shall use that compensatory time off within one (1) year of the date of its accrual. If that compensatory time is not used within one (1) year of the date of its accrual, that compensatory time shall be paid out at the pay rate at which it was accrued. Compensatory time off must be requested in writing (via current scheduling system) by noon, two (2) calendar days from the start of the shift for which the time off is requested. Compensatory time must be taken in blocks of four (4) or more hours, and with regard to holidays, only one (1) such block per shift. When requested with less than the requisite notice, the granting of such time will be at the Chief's discretion. In his discretion, the Chief may grant additional blocks of compensatory time per shift, and the blocks may be less than four (4) hours. Compensatory time off for holidays must be requested at least seven (7) days in advance. No use of compensatory time will be permitted for Christmas Eve and Christmas Day.
- 3.3.1 In extraordinary circumstances requiring a call for mutual aid there should also be a reasonable effort to call back off-duty Firefighters for relief purposes. This section shall not apply where the circumstances require specialized apparatus not maintained by the Department.

When requesting mutual aid the Albany Fire Department shall use any and all available current technology (i.e. text messaging/group texting) to request Members for recall. The AFD and the Union agree to form a working Committee, within six (6) months of signing the Agreement, to develop workable protocol.

- 3.3.2 Any member called in pursuant to the provisions of Section 3.3.1 must be able to arrive within thirty (30) minutes of the call-in.
- 3.4 Working of Kelly Days As provided in this section, a firefighter (which for the purposes of this section shall include officers) upon reaching his 13th anniversary shall be entitled to work two (2) "Kelly Days" per year. After the 20th year, a firefighter may work a third Kelly Day at the Chief's discretion.
- 3.4.1 Kelly Day Period The two (2) Kelly Days that may be worked must fall during the following periods:
  - 1. May 24th to September 15th
  - 2. November 17th to November 30th
  - 3. December 18th to December 31st
- 3.4.2 Scheduling of Kelly Days Worked An eligible firefighter may work up to two (2) of his regularly scheduled Kelly Days that fall during the above periods. Firefighters will select the Kelly Days that they wish to work and notify the Chief by January 1 of each year. If a firefighter does not have two (2) regularly scheduled Kelly Days falling during the above periods the following procedure shall be used:
- 1. By March 1, the Chief shall post three lists, one for each rank, which will show the dates within these periods which can be selected by eligible Firefighters who have only one regularly scheduled Kelly Day falling during the above periods.
- 2. From this list of available dates a firefighter can select a day only on a shift that neither immediately precedes or follows his regularly scheduled shift as illustrated below. Selections shall be made by seniority and grade. Selections must be made by April 15.

Regular Scheduled Shift Permissible Shift to be Selected

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- 3.4.3 For the year in which a firefighter reaches his 13th anniversary the following transition rules shall apply:
- 1. A Firefighter who reaches his 13th anniversary before May 24th shall be fully eligible to work two (2) Kelly Days as provided in this section.
- 2. A Firefighter who reaches his 13th anniversary on or after May 24th shall be eligible to work up to two (2) regularly scheduled Kelly Days that fall during the designated periods but, for that year only, he shall not be eligible for the rescheduling provision of section 3.4.2.
- 3.4.4 Discretionary Kelly Days At the Chief's discretion a third Kelly Day may be worked by eligible Firefighters. In the event that the Chief chooses to do this, selections shall be made by seniority and grade.
- 3.4.5 Pay Eligibility A Firefighter must actually work the days selected to be eligible to be paid for those days.
  - 3.5 Pre-planned Overtime:
- 3.5.1 For the purpose of this Article, pre-planned overtime is defined as overtime that the Battalion Chief is aware of more than twenty-four (24) hours in advance.
- 3.5.2 It is the purpose of this Article to establish a system no later than January 1, 2003 or sooner, if possible, for the distribution of all pre-planned overtime hours among the members of the bargaining unit. Pre-planned overtime assignments shall be made in accordance with the procedure set forth herein.
- 3.5.3 Upon reasonable notice in writing to the Fire Chief, the Union will have the right to inspect all overtime records for the purpose of insuring that the distribution of pre-planned overtime is being maintained pursuant to this Article. In addition to showing the number of overtime hours worked per member, such records shall reflect the names, times and dates of calls made to members for overtime assignment.
- 3.5.4 The procedures set forth shall apply solely to overtime of twelve (12) hours or greater. It shall not apply in instances of scheduled overtime of less than twelve (12) hours, in which case strict seniority shall apply provided that the member has twelve (12) hours off prior to and after his/her

regularly scheduled 24-hour tour. In such an instance, the member will receive a no count for this shift.

- 3.5.5 An overtime list shall be established and maintained by the department by rank for Firefighters, Lieutenants and Captains. It shall be the responsibility of each member of the bargaining unit to provide the department with a single current phone number and the department will be required to phone only that number unless the member is working in the firehouse during the time the calls are made.
  - a) Firefighters shall be eligible for the following overtime opportunity allocations based on their seniority as of January 1<sup>st</sup> of the year:

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20 years or greater 5 12-hour overtime blocks 15 years or greater 4 12-hour overtime blocks 10 years but less than 15 3 12-hour overtime blocks 5 years but less than 10 2 12-hour overtime blocks 1 but less than 5 years 1 12-hour overtime blocks
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b) Lieutenants and Captains shall be eligible for the following overtime opportunity allocations based on their time in grade as of January 1st of the year:

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5 years or greater 4 12-hour overtime blocks
2 years but less than 5 3 12-hour overtime blocks
less than 2 2 12-hour overtime blocks
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- c) When a Lieutenant is promoted to Captain, the member will remain in the same overtime block. For example, if a Lieutenant is entitled to four (4) overtime blocks (12 hours) before they are promoted to Captain, the Lieutenant will remain eligible for the four (4) 12-hour overtime blocks once promoted to Captain.
- 3.5.6 Procedures for Pre-Planned Overtime
- a) Overtime will be awarded in twelve (12) hour blocks.
- b) Calls for pre-planned overtime shall be made during the shift that ends 24 hours before the shift of the overtime assignment (for example, calls for pre-planned overtime on C shift will be made during A shift).
- c) Pre-planned overtime will be awarded, by seniority, on rotating basis among the members in the highest seniority bracket enumerated in Article 3.5.5. provided the member

has twelve (12) hours off prior to and after his/her regular scheduled tour.

- d) When contacted, the member must accept or decline the overtime opportunity.
- e) If all overtime opportunity allocations are filled in the senior bracket, or no members are eligible or available to work, the members in the next senior bracket shall be called, by seniority, on a rotating basis until all eligible members, by rank, have accepted or declined their eligible overtime allocation. The process shall then repeat.
- f) If no one accepts the overtime detail, the position shall be filled by inverse seniority, using the junior eligible member of the appropriate rank from the opposite shift working the day of the calls. In such an instance, the member shall receive a no-count for that shift.
- g) If a member accepts overtime but is unable to work it due to a job-related injury or job-related illness the accepted overtime shall not count against said member. Members of the Union Executive Board who have to decline overtime to attend a scheduled meeting, conference or convention shall not have that refusal count against them.
- h) All members' overtime allotments shall begin at zero each year on January 1.
- i) A member's refusal to work overtime on the three (3) days prior to their scheduled vacation day, extra day or compensatory day (24 hour) shall not count against the member. (This will give the member the option if whether they wish to work during those periods.) The member must notify the BC at time of contact, as to scheduled overtime (...in order to be certain that the member is not charged with a refusal).
- 3.5.7 Procedures for Non-Pre-planned Overtime.
- a) Overtime caused by a need to fill vacancies created by sick leave or injuries that arose less than 24 hours before the start of a shift, will be filled generally using the same list and rules established for pre-planned overtime.

- b) Members will be called, if possible, between the hours of 0700-0800.
- c) When contacted, the member must accept or decline the overtime opportunity.
- d) If no member accepts the opportunity, the position will be filled by inverse seniority, using the junior eligible member of the appropriate rank, providing that member has twelve (12) hours off prior to and after his/her regular scheduled tour. In such an instance, the member shall receive a no-count for that shift.

#### 3.5.8 General Rules.

- a) If unable to fill a Captain vacancy, after all eligible Captains have been called, Lieutenants may be contacted. If unable to fill a Lieutenant vacancy, after all eligible Lieutenants have been called, Captains may be contacted.
- b) A member who is off duty the entire shift prior to the overtime opportunity, or ends their shift on sick leave, is not eligible to be called.

#### c) Holiday Overtime

- 1) Holiday shall be defined as those days listed in Article 2.1 of this Agreement and Christmas Eve and New Year's Eve.
- 2) Holiday overtime shall be offered by strict seniority to members who have twelve (12) hours off prior to and after his/her regular scheduled 24 hour tour. In such an instance, the member will receive a no count for this shift.
- 3) Pre-planned Holiday overtime shall be called 6 days in advance of the holiday. The exception shall be Christmas Eve and Christmas Day.

### a) Christmas Eve and Christmas Day

1. The department shall post a notice on or before November 15th to canvas members wishing to work Christmas Eve and/or Christmas Day.

- 2. Members wishing to work shall submit a Holiday Overtime Request form, denoting the shifts they are eligible and willing to work by December 1st.
- 3) Members submitting said forms will be called, by rank and seniority, to fill the overtime vacancies.
- 4) If not enough members have submitted to fill the available overtime details, the positions shall be filled by inverse seniority using the junior eligible member of the appropriate rank from the opposite shift.
- d) Member's assigned to Headquarters
  - 1) Members assigned to Headquarters shall be eligible to work overtime from 2000 Friday to 0800 Saturday, 0800-2000 Saturday, 2000 Saturday to 0800 Sunday and 0800-2000 Sunday.
  - 2) These members shall also be allowed to work Seniority overtime from 1600-2000 weekdays.
  - 3) These members will also be eligible to work overtime on holidays on the 0800-2000 tour. Such members are also eligible for the 2000-0800 tour provided they are not scheduled to work at Headquarters the following day. These members shall also be eligible to work overtime from 2000-0800 on the eve of a holiday.
- e) Members shall not be eligible for overtime on their last work day before vacation or on their last scheduled work day before retirement.
- 3.5.9 New hires shall be added to the overtime list upon the expiration of their probationary period. Lieutenants and Captains will be added to the appropriate overtime brackets upon promotion.
- 3.5.10 Where a member of the bargaining unit is inadvertently passed over by the department, then, that member of the bargaining unit shall be given first preference for the next available pre-planned overtime which occurs.

This remedy shall apply in the following instances: 1) a violation of seniority, in those instances where strict seniority applies, 2) where rank is violated, by a member of the inappropriate rank working an overtime opportunity or 3) where a member is skipped and fails to achieve that overtime opportunity by the end of the year. In these instances, the member will receive the next available twelve (12) hour overtime block and will receive a "no count."

- 3.5.11 No member of the bargaining unit shall be assigned pre-planned overtime when the result would be that the member would work in excess of twenty-four (24) consecutive hours.
- 3.6 Mutuals: Each member of the bargaining unit shall be entitled to one hundred forty-four (144) hours of mutual exchanges of duty with other unit members of the same rank (i.e. Firefighter, Lieutenant or Captain) provided however, that no such exchanges shall result in a member of the unit working in excess of twenty-four consecutive hours. Such entitlement is per calendar year, and unused mutuals shall not be carried over into the following year. Upon request, the Chief may authorize mutuals in excess of the one hundred forty-four (144) hours. Absent prior approval from the Chief, no more than forty-eight (48) hours of mutuals will be used in conjunction with any Kelly Day or vacation. Mutuals will also be allowed involving members on the same shift with the consent of the Battalion Chief and the individual members.
- A member's regular work schedule may be temporarily changed for a period not to exceed two (2) consecutive weeks annually for specialized training. Examples of the types of training intended are HAZMAT, rope rescue, and codes. First Line Supervisors School shall not count against the work schedule changes contemplated by this section. The change in the work schedule shall not be for training involving the essentials of firemanship, or the 100 hours annual routine training as presently required by the Office of Fire Prevention and Control. The schedule change shall result in not more than a forty (40) hour week, Monday through Friday, normal business hours such as Monday to Friday - 9:00 a.m. to 5:00 p.m., or Monday to Thursday -8:00 a.m. to 6:00 p.m. The City shall provide the member with not less than thirty (30) days notice of change to the member's schedule. The member's vacation time, Kelly time or other paid time off, shall take priority and shall not be changed if the same conflicts with the changed schedule. The work schedule

change provided for herein shall occur not more than once annually, and shall not carry over from year to year.

3.7.1 Any member promoted to the rank of Lieutenant will be required to attend a Department-sponsored program for one (1) week, at eight (8) hours per day for five (5) days beginning the Monday after said member's promotion to Lieutenant. This will be in addition to the New York City school. The thirty (30) days notification referenced in Section 3.7 above will not be required with regard to the provisions of this section.

### ARTICLE 4 FIRE HOUSE MAINTENANCE AND TELEPHONE

4.1 Fire House maintenance shall not include painting, plumbing or electrical work and no Firefighter will be required to perform such work.

### ARTICLE 5 ACTING OUT-OF-TITLE PAY

5.1 A Firefighter shall be compensated retroactively at the higher rate for any hours worked out-of-grade. A Lieutenant will receive out-of-title pay provided the Captain is absent more than one consecutive shift.

#### ARTICLE 6 SAFETY GEAR

- 6.1 The City shall keep in inventory all safety gear, to prevent any shortages of the same.
- 6.2 All Firefighters (excluding civilian personnel) shall receive safety rubber boots, turnout coat with liner and bunker pants, suitable gloves for both summer and winter use, helmets, shields or goggles and face piece for SCBA.
- 6.3 The City agrees to replace all safety gear that becomes unusable through normal wear and tear in the course of duty and also safety gear that is destroyed, damaged or lost through no fault of the employee.

### ARTICLE 7 SENIORITY

7.1 Seniority determination - Seniority shall be determined, as of the date of the employee's appointment to the Fire Department.

Officer's seniority shall be determined as of the date of their Civil Service promotions occurring after May 1, 1978. If two or more officers of equal rank are promoted on the same day, the officer holding the highest rank on the Civil Service list shall be senior. If such officers have equal rank on the Civil Service list then the officer with the greater length of service shall be senior. If the periods of service are of equal length then seniority shall be determined by lot.

- 7.2 Seniority to Prevail. Seniority shall prevail at all times in the Department of Fire with the exception of awarding of pre-planned overtime under the provisions of Article 3.5 of this Agreement which shall be implemented with the provisions of this Article notwithstanding. Further, it is recognized that the public safety shall not be jeopardized through artificial constraints resulting from the application of the principle of strict seniority.
- 7.3 Seniority Lists The Chiefs Office will initiate and post in all fire stations an up-to-date seniority list every six (6) months and supply the union with copies. Officers' Seniority Lists shall include date of promotion, as well as the date or appointment to job. The Chief's Office shall supply the Union with a list of members recalled for overtime within five (5) days of said event.

## ARTICLE 8 WORK FORCE CHANGES

- 8.1 Lay-Offs and Recalls All lay-offs and recalls after a lay-off shall be made in accordance with the Civil Service Law and the rules of the Civil Service Commission. If the Civil Service Law does not apply, then the principles of seniority as expressed in this contract shall apply.
- 8.2 Recalls When emergency conditions so require, the recall of Firefighters shall be made on the following basis.
- $8.2.1\,$  By seniority when practicable having due regard to the nature and circumstances of the emergency or

8.2.2 When the Chief determines that the public safety requires a more immediate response, the Chief may recall by using a special seniority list which will be maintained at Headquarters and which will contain the names of those Firefighters who live within an eight (8) mile radius of the location of "Old Steamer Engine 10" in the City of Albany. The City agrees to supply the Union with a copy of said special seniority list upon demand and to supply the Union with the quarterly updated versions. The telephone numbers on the eight (8) mile radius list shall not be pager or beeper numbers. The numbers may be to an answering machine, but the caller shall not wait for any response.

Any member called in off the emergency recall list must be able to arrive within thirty (30) minutes from the call in order to accept the work.

- 8.3 Filling of Vacancies within Rank.
- 8.3.1 Permanent Vacancies a permanent vacancy is one that occurs as a result of such things as the death, resignation, removal, reassignment, transfer, promotion, or permanent disability of a member. This is merely descriptive and not limiting.
- 8.3.2 Permanent Vacancies Vacancies that are permanent shall be put out for bid by seniority. Where the vacancy is in a special unit, including without limitation, such examples as paramedics and arson investigators, who are required to have special qualifications beyond those attaching to the standard duties of a Firefighter, the Employer's posting of a job opening as required by Section 8.3.3 of this Article shall describe the opening and shall list in detail the specific, objectively verifiable criteria which the Employer requires to fill the position. Seniority shall prevail among the applications meeting the criteria listed.
- 8.3.3 Posting of Vacancies Notice of any permanent vacancy which the Chief intends to fill shall be posted in all houses. The notice shall be posted for ten (10) calendar days prior to the date when the vacancy shall be filled. Any employee wishing to bid on such vacancy shall submit his request in writing during the posting period. A member may only rescind a bid up to 120 hours prior to the time the bid is awarded. Once a bid has been awarded, it shall be deemed irrevocable.

- 8.3.4 Temporary Vacancies A temporary vacancy is one that may periodically occur as a result of such things as illness, vacation, leaves of absence or emergency situations. This list is merely descriptive and not limiting.
- 8.3.5 Filling of Temporary Vacancies Long Term A temporary vacancy that can reasonably be expected to last more than thirty (30) calendar days shall be put out for bid in accordance with the Article, except that platoon seniority shall prevail over departmental seniority.
- 8.3.6 Filling of Temporary Vacancies Short Term Temporary vacancies that are not expected to last more than thirty (30) days shall be filled by the Department using the following procedure whenever practicable in light of manpower requirements and efficient operation of the Department. The Department shall go to the company where there is a surplus of members on that platoon and allow the members in that company to bid on the opening. If no one bids on said opening, the Chief may take a junior member or members from said company and use him or them to fill the vacancy.
- 8.3.7 Inverse Seniority If the bidding procedure does not produce an employee to fill a vacancy then the vacancy shall be filled by inverse Departmental seniority. When inverse seniority is used to fill a vacancy, the member who fills said vacancy shall retain his or her permanent position from where he or she has moved until such time that the member can return to their position.
- 8.3.8 Filling of Vacancies Within fourteen (14) days of the creation of a vacancy expected to last more than thirty (30) days, the City shall post said vacancy for bid in accordance with Article 8.3.3.
- 8.3.9 Selection of Aides The selection of aides for the Chief, Deputy Chief and Battalion Chiefs shall be the prerogative of the individual Chief.
- 8.3.10 Intra-Company Changes Nothing in this Article will be construed to prevent the Captain of each house from making transfers between platoons within his company when the good management of that company so requires.
- 8.3.11 Company Preference An individual on that company, on that shift, at the rank of Firefighter, will have preference to bid on the open "Kelly Day" which results from the vacancy.

This will be done by seniority if more than one member of that shift requests the open "Kelly Day". Vacancies occurring in a company platoon shall be offered first on the basis of seniority to members of other platoons within that company prior to posting any vacancy notice. This preference shall apply only to intra-company transfers and not to transfers between companies of the same house.

- 8.4.1 Filling of Vacancies Out of Title Vacancies that are to be filled by an out-of-title assignment shall be filled generally in accordance with this Article with the following consideration prevailing. Permanent and long term temporary out-of-title vacancies expected to last more than thirty (30) days shall be filled from the appropriate existing eligible list within fourteen (14) days of the creation of a vacancy by selecting from the four persons standing highest on such list.
- 8.4.2 In the event of an Officer's unanticipated absence, not to exceed three (3) working days, the Senior Firefighter shall be in charge of the company. The Senior Firefighter may defer to a Junior Top Grade Firefighter.
- 8.5 Limitation on Bidding The employer shall not be required to fill a biddable vacancy within a company or house with an employee who was selected to fill such vacancy within the six (6) calendar mouths immediately preceding the close of the bidding period.
- 8.6 Probationary Employees Any employee serving a probationary period may be assigned to any vacancy through the Department, from time to time, at the discretion of the Chief. At the end of the probationary period the employee shall be permanently assigned to a position for which no other Firefighter has bid.
- 8.7 Reduction in Force When there is to be a reduction in force in a company requiring assignment of an extra man to a vacant position in another company, the junior man in the first company shall be the one assigned to that vacancy. The man so assigned shall not be subject to the six-month limitation of Section 8.5 with respect to bidding on future vacancies.
- 8.8 Newly created jobs or positions shall be permanent positions, with all applicable parts of this contract thus applying, if they are created and exist for a period beyond thirty (30) days.

8.9 Any employee on an unpaid leave of absence for more than one year will forfeit their bid position.

#### ARTICLE 9 VERBAL ORDERS

9.1 Verbal orders concerning policy matters involving all personnel of the Department of Fire and issued by the Chief of the Department will be confirmed in writing within three (3) Chief's Office working days and posted in each station to become part of the permanent record. The Union will be supplied with a copy.

### ARTICLE 10 PERSONAL LEAVE TIME

- 10.1.a Each firefighter shall be entitled to no more than 24 hours of personal leave per year. Request for personal leave shall be made upon forty-eight (48) hours notice and shall not be arbitrarily denied. If personal leave is requested with less than 48 hours notice, granting such leave will be at the sole discretion of the Chief. Personal leave shall be used for urgent personal matters which cannot be attended to outside the employee's work schedule. Personal leave time must be used in at least four (4) hour blocks; and cannot be used on holidays and/or Christmas Eve without prior written approval of the Fire Chief. Firefighters granted personal leave for a complete shift, regardless of their schedule, will not be eligible to work overtime until they return to their next scheduled shift. A Kelly Day shall count as a scheduled shift.
- 10.1.b If a Firefighter has used all 24 hours of Personal Leave, the Firefighter must use their accrued paid leave (i.e. Vacation, Comp Time) or Mutual exchange of Tour for all or part of any additional leave requests. If the member has used all of his accruals, granting of additional personal leave will be at the sole discretion of the Chief.

### ARTICLE 11 LEAVE OF ABSENCE

- 11.1 Uniformed members of the Department of Fire shall have a right to a leave of absence.
- 11.2 All leaves of absence shall be subject to the manpower needs of the Department of Fire as determined by the Chief.

11.3 No uniformed member shall forfeit seniority rights accrued prior to his leave of absence upon return to service with the Department of Fire. An employee will not accrue seniority during any period of unpaid leave of absence of more than a year and the period of the leave will be added to their seniority date.

### ARTICLE 12 VACATIONS

- 12.1 Vacations shall be apportioned throughout the year. Notice of commencement of the vacation pick procedure shall be given in the first week of October, preceding the year in which the vacation is to be taken, and the vacation pick procedure shall be completed by November 15 of said year. A member may bid for vacation that they will have earned and accrued as of December 31 for use during the following year. The City agrees that eight (8) members per shift, not including Battalion Chiefs, shall be allowed to pick vacations and to be off on vacation at the same time. This will be true irrespective of the "banking" provisions of Section 12.9 and irrespective of any other reason why other members may be off. Thus, if a member picks a particular vacation day but then decides to "bank" and work it, this member is not counted as one of the eight (8).
- 12.2 The right to a vacation period vests immediately upon the assumption of the duties of a firefighter. Such vesting shall accrue in proportion to that part of a full year served at the time vacation is taken. Members shall be entitled to pick an unlimited amount of vacation periods in accordance with the provisions of Section 12.8.
- 12.3 Vacations shall be picked by departmental seniority from date of hire, on each platoon. In event of transfer, the subject employee shall have a new pick from the vacation periods still unpicked on his new platoon and company. Requests for change of vacation selection shall be granted if (a) there is no conflict with an existing pick at that platoon and company and (b) there is no interference with operations of the Department.
- 12.4 Kelly Days If, because of transfer, the Chief assigns a new Kelly Day which conflicts with that employee's vacation, he, or she will get an extra day off.
- 12.5 In computing vacation entitlement, fifteen or more days' service in one month shall be deemed a full month's

service. No credit shall be granted for less than fifteen day's service in one month.

- 12.6.1 The employer will compensate an employee at separation from service for unused vacation leave, Kelly Days, longevity, holiday pay, which were accrued prior to separation, provided that the employee gives at least fourteen (14) calendar days written notice of termination. Firefighters on 207-a pay will receive holiday pay and vacation entitlement as in the past. If this notice is not provided to the employer in a time specified, the employee may not receive their check for up to fourteen days after date of retirement.
- 12.6.2 Firefighters who are unable to work due to an injury or illness placing them on long-term section 207-a General Municipal Law leave, for two years or more, shall receive a maximum unused vacation entitlement of two years allowance. The firefighter shall in addition be paid any portion of the 240 hour bank referred to in Article 12.9 of the Collective Bargaining Agreement which was earned prior to being placed on Section 207-a, General Municipal Law Leave.
- 12.7 Leaves of absence without pay or a resignation followed by reinstatement or re-employment in City service within one (1) year shall not constitute an interruption of service for computing vacation entitlement, provided that subject employee shall not accrue vacation entitlement during the period of such leave or separation.
- 12.8 Employees shall accrue entitlement to vacation to be taken the next calendar year at the following rates:

#### FOR FIELD UNITS

Length of Service

Vacation Entitlement

Less than 12 months

One and one third calendar days per month, to be taken in the calendar year following that in which employment begins.

Less than 3 years

144 hours, to be taken as six 24-hour work shifts.

More than 3 years, but less than 20 years

168 hours, to be taken as seven 24-hour work shifts.

20 years or more

192 hours, to be taken as eight 24-hour work shifts.

#### FOR HEADQUARTERS STAFF

Length of Service

Vacation Entitlement

Less than 12 months

One and one third calendar days per month, to be taken in the calendar year following that in which employment begins.

Less than 3 years

144 hours, to be taken as eighteen 8-

hour work shifts.

More than 3 years, but less than 20 years

168 hours, to be taken

as twenty-one 8-hour work shifts.

20 years or more

192 hours, to be taken as twenty-four

8-hour work shifts.

Headquarters Staff (Monday-Friday) may take single vacation days.

12.9 In accordance with the procedures set forth herein, a member may bank up to two hundred forty (240) work hours per career if he works his vacation. Employees who elect to bank any vacation days shall be compensated upon death, retirement, resignation or separation from service for any reason. Employees or their beneficiary shall be paid by check for such accumulated vacation credits at their applicable rate of pay at separation.

Only vacations chosen in accordance with this Article that occur during one of the "Kelly Day Periods" specified in Article 3.4.1 may be banked. If more than one (1) day is to be banked, they must be consecutive within a chosen vacation period. Only full shifts may be banked. If an employee selects a vacation day to be banked, he must actually work that day. If he does not actually work that day, he loses the day both as a bank day and as a vacation day.

### ARTICLE 13 FUNERAL LEAVE

13.1 Bereavement leave of three (3) consecutive calendar days will be granted for death in the immediate family or personal household, provided the member attends the funeral. Leave shall run from notice of death, and is inclusive of vacation time, compensatory time, personal leave or days off,

provided, however, that no employee shall have to return to work on the day of burial.

- 13.2 Immediate family shall be defined as spouse, child, parent, sister, sister-in-law, brother, brother-in-law, mother-in-law, father-in-law, grandparents, grandparents-in-law, or grandchild, provided that the member is living with his spouse at the time of the death of any listed member of the spouse's family. Immediate family shall also include foster or step relatives who are in the same relationship with the member as the direct relatives listed above.
- 13.3 The Fire Chief or his designee may grant funeral leave to a Firefighter for the day of funeral of any person not in the member's immediate family or personal household, subject to such limitations as the Chief may deem appropriate.
- 13.4 Members may be required to present the Chief or his designee with proof of death and/or proof of immediate family or personal household status.

### ARTICLE 14 ENTRY LEVEL SALARY PROGRESSION

14.1 Expressed as a percentage of Top Grade, which is equal to 100% entry level salaries shall progress as follows:

Year of Service	% of Top Grade
During First Year	65%
During Second Year	70%
During Third Year	80%
During Fourth Year	90%
During Fifth Year and Beyond	100% Top Grade

This provision shall not be used to diminish the salary of anyone on the payroll upon the date of execution of this agreement.

### ARTICLE 15 SALARIES

15.1 Salaries shall be as stipulated in Appendix "A" hereto. The salary schedule shall be increased, effective as follows:

- The Salary Schedule in effect as of December 31, 2011 shall first be increased effective January 1, 2012 by 3.0% for all positions;
- The Salary Schedule created by the 3% increase shall again be increased for all positions by 2.5% effective January 1, 2013.

The salary increases generated by the above changes to the Salary Schedule and any costs associated therewith such as overtime rates, holiday compensation, etc. generated by the foregoing changes to the 2012 and 2013 Salary Schedules shall be payable on or after December 31, 2013 and not before.

- For the calendar years of 2014 and 2015, the salaries of all members of the Union will not increase.
- Effective December 13, 2016, each member of the Union will receive a two percent (2%) increase in salary for their job title; the two percent (2%) increase shall be calculated by taking two percent (2%) of the applicable salary in effect at the time of ratification and adding it to said salary; said salary with increase to be paid no later than December 31, 2016. There will be no retroactive impact of this adjustment.
- Effective January 1, 2017, each member of the Union will receive a one percent (1%) increase in salary for their job title; the one percent (1%) increase shall be calculated by taking one percent (1%) of the applicable salary in effect on December 31, 2016 and adding it to said salary.
- Effective July 1, 2017, each member of the Union will receive one-half percent (.5%) increase in salary for their job title; the one-half (.5%) increase shall be calculated by taking one-half percent (.5%) of the applicable salary in effect on June 30, 2017 and adding it to said salary.
- Effective September 17, 2018, each member of the Union will receive a one percent (1%) increase in salary for their job title; the one percent increase shall be calculated by taking one (1%) percent of the applicable salary in effect on December 31, 2017 and adding it to said salary. There will be no retroactive impact of this wage adjustment.

- Effective January 1, 2019, each member of the Union will receive a one percent (1%) increase in salary for their job title; the one percent increase shall be calculated by taking one (1%) percent of the applicable salary in effect on December 31, 2018 and adding it to said salary.
- Effective January 1, 2020, each member of the Union will receive a two percent (2%) increase in salary for their job title; the two percent increase shall be calculated by taking two (2%) percent of the applicable salary in effect on December 31, 2019 and adding it to said salary.
- Effective January 1, 2021, each member of the Union will receive a two percent (2%) increase in salary for their job title; the two percent increase shall be calculated by taking two (2%) percent of the applicable salary in effect on December 31, 2020 and adding it to said salary.

Effective January 1, 2022, each member of the Union will receive a two percent (2%) increase in salary for their job title; the two percent increase shall be calculated by taking two (2%) percent of the applicable salary in effect on December 31, 2021 and adding it to said salary.

Effective January 1, 2023 - \$500.00 added to the base, then a 2.5% increase

- i. Upon ratification of the MOA (2/15/23), for the year 2023, the new EMT and Paramedic stipends will be \$1127.50 and \$2255.00 respectively. The difference (\$27.50 and \$55.00) shall be paid retroactively in one check to all respective members. The new EMT and Paramedic stipends shall be included in the base wage and increased by applicable annual percentage beginning 12/31/2023.
  - In conjunction with (i) above, EMT / Paramedic stipend language in Appendix 'A' would be deleted
- ii. HQ Differential Increases to \$520b. January 1, 2024 2.75% Increase
  - i. HQ Differential Increases to \$530

- c. January 1, 2025 3.0% Increase
  - i. HQ Differential Increases to \$540
- d. January 1, 2026 3.0% Increase
  - i. HQ Differential Increases to \$550

15.2.1 Each employee shall have added to his annual salary the following sums after the completion of the following years of service respectively:

Length of Service	Longevity Amount
5 Years 10 Years 15 Years 20 Years 21 Years 22 Years	\$1600 \$1800 \$2050 \$2350 \$2600 \$2850
23 Years 24 Years 25 Years	\$3100 \$3350 \$3600

• Effective beginning in 2023:

FIRE LONGEVITY								
YEARS	CURRENT	2023	2024	2025	2026			
5+	1,600	1,650	1,700	1,750	1,800			
10+	1,800	1,850	1,900	1,950	2,000			
15+	2,050	2,100	2,150	2,200	2,250			
20	2,350	2,400	2,450	2,500	2,550			
21	2,600	2,650	2,700	2,750	2,800			
22	2,850	2,900	2,950	3,000	3,050			
23	3,100	3,150	3,200	3,250	3,300			
24	3,350	3,400	3,450	3,500	3,550			
25	3,600	3,650	3,700	3,750	3,800			
26	3,600	3,700	3,800	3,900	4,050			
27	3,600	3,775	3,950	4,125	4,300			
28	3,600	3,825	4,050	4,300	4,550			
29	3,600	3,900	4,200	4,500	4,800			
30	3,600	3,950	4,300	4,650	5,050			

15.2.2 Longevity payments will be made once a year on the Firefighter's anniversary date in a separate check. Longevity payments shall be added to an employee's base pay for the purpose of determining the employee's regular rate of pay.

15.3 Weekly salary shall be calculated by dividing the annual salary by 52.

#### 15.4 Direct Deposit

All employees must utilize Direct Deposit. The employee must notify the Payroll Office via an entry in "e-suites" and complete the requisite forms within 30 days of the signing of the MOA (2/15/23).

### ARTICLE 16 CIVIL SERVICE EXAMINATIONS

- 16.1 The City shall request that the Municipal Civil Service Commission schedule an examination whenever the existing list has expired, is exhausted, or otherwise terminated. The City agrees to do all that is necessary on its part to insure Civil Service eligible lists for all titles are continuously in place, without lapse interruption.
- 16.2 If other than the top name on an entrance or promotional list is selected for appointment or promotion, the Fire Chief must show to the Union, in writing, his reasons for not appointing or promoting the top name. This Section shall not be subject to a grievance procedure.

### ARTICLE 17 LEGAL APPEARANCE / JURY DUTY

17.1 A bargaining unit member required to testify during off-duty hours in a legal proceeding in connection with his duties as a Fire Department employee shall be compensated for the time so spent at a rate of one and one-half times his normal rate of pay. No additional compensation shall be paid to bargaining unit members who make such appearances while on duty; on-duty legal appearances in interest arbitrations, grievance arbitrations and Public Employment Relations Board proceedings, except when the member's testimony is required by the employer. An employee serving as an expert witness in a proceeding on behalf of a private party is not entitled to the benefits of this clause.

### 17.2 Jury Duty

17.2.1 A member who is called to jury duty shall be required to notify the Fire Chief within 48 hours from receiving

a notice for jury duty by providing a copy of such notice to the Fire Chief. Jury duty shall include either Grand Jury, Civil Jury or Criminal Jury both in Federal or State court. In the event the member is placed on a jury standby schedule and not required to be present in court, the member shall report to work as scheduled and if notified to report to court shall immediately notify the Fire Chief's office.

- 17.2.2 In the event a member is required to be present in court pursuant to the jury duty notice while his/her shift is scheduled to work, such member shall be excused from work with no loss of pay or benefits. The excusal from work shall include tours for which his/her shift is scheduled to work any part of the calendar day that he/she is required to attend court as a member of a jury working on a case before the court. A member will also be excused for the remainder of his/her scheduled tour if he/she is chosen for a jury and will begin service as a juror the following calendar day. If a member's jury service ends on his/her regularly scheduled tour, the member will be required to return to work. As a condition of eligibility to the benefits provided herein, the member shall provide to the Chief the certification of jury duty indicating the dates and times the member was present in court for jury service.
- 17.2.3 The member shall reimburse the City any per diem payment received from the court system for each day that they are excused from duty and paid by the City.
- 17.2.4 In the event the Department schedules a replacement for a member excused for jury duty, and attendance for jury duty for such day is canceled, the Department shall have the right to cancel the overtime tour prior to the replacement member commencing work at the scheduled start of the overtime tour.

# ARTICLE 18 LEAVE OF ABSENCE FOR UNION REPRESENTATIVES

- 18.1 All members of the Union Negotiating Team, to a maximum of four (4) persons, shall be given time off for negotiations with the City of Albany.
- 18.2 The President of Local 2007 shall be given ten (10) work days' leave of absence with pay each year for the purpose of attending conferences or meetings pertaining to his union activities. He may take those days in blocks of four (4) hour periods. The Union President shall receive, in addition to the

above, "Chief's time" for activities which in the opinion of the Chief benefit the Department.

Effective January 1, 2006, up to four (4) registered delegates of the Union will receive full release time with pay to attend State Convention, State Legislative Conference, State Health and Safety Conference, International Health and Safety Conference, International Legislative Conference, and the International Firefighters' Convention. This release time will be in addition to other release time provided for in the contract.

- 18.3 Labor Management Committee Up to two unit members on duty will be released from duty without loss of pay to attend such meetings of the labor-management committee as may be called. This section does not guarantee paid leave to any unit member, it does require that persons on duty will be assigned to attend the labor-management committee meeting.
- 18.4 Release Time For the purpose of union meetings or the investigation and processing of grievances, there shall be a block of 216 hours per year of released time for union officers to be released from duty. For Union meetings the President of the Union shall advise the Chief in writing of the individual to be so released not less than forty-eight hours before the start of the tour of duty in question which is not to exceed 4 hours in a 24-hour period. This shall be limited to no more than one union officer per tour of duty.

A union officer shall be given release time to investigate and process a grievance if it occurs during his tour of duty.

18.5 In the event of a line of duty death by a member, up to three (3) designated members of the Executive Board will be allowed five (5) consecutive calendar days off with pay commencing with the day of death.

### ARTICLE 19 GRIEVANCE PROCEDURE

19.1 Right to Present Grievances - Notwithstanding any provision herein, individual employees may present their own grievances to the Employer and have them adjusted without the intervention of the Union officer; provided, however, that the Employer has given the Union officers notice and an opportunity to be present at such adjustment. In no event shall any

adjustment be contrary to or inconsistent with the terms of any agreement between the Employer and the Union.

- 19.2 Release Time to Present Grievances An employee and his representative shall have such time off from their regular duties as may be necessary for the presentation of a grievance, without loss of pay or time credits.
- 19.3 Informal Resolution of Grievances Every Firefighter shall have the right to present grievances in accordance with the procedures provided in this Article. The informal resolution of differences or grievances is urged and encouraged to be done at the lowest possible level of supervision.
- 19.4 Definition of Grievance a grievance shall mean a claimed violation, misinterpretation, or inequitable application of any existing rule, procedure, law or regulation covering any items mentioned in this contract or covering any other item which affects the "terms and conditions" of employment of members of the bargaining unit. "Terms and conditions" of employment shall be defined as those terms are defined in the Taylor Law. Questions concerning a firefighter's eligibility for 207-a benefits or concerning entitlement to pay or any other benefit under Section 207-a shall be heard under the procedures set forth in Appendix "D".

#### 19.5 Grievance Procedure

Step 1. The employee or the Union shall present the grievance orally or in writing to the employee's immediate supervisor within 14 days of the occurrence of the grievance. If the grievance is submitted in writing to the immediate supervisor, the supervisor shall present his written answer within four (4) days. If the Union is not aware of the grievance, the 14-day limit shall be extended by six (6) days.

An employee shall have the right to discuss his grievance with his Union representative before any discussion takes place with the supervisor. Any discussion with the Union representative at this step shall take place at the firefighter's work place. Nothing in this section shall be construed to permit an employee from refusing to respond to a call with his company.

Step 2. If the grievance is not satisfactorily settled at Step 1, the employee or the Union shall have 14 days to appeal to the Chief. The 14 days shall run from the date of receipt by

the employee or the Union of the immediate supervisor's written answer or if no answer was forthcoming, the date from which such answer was due.

The Chief shall meet with the employee or the Union within five (5) days of receipt of grievance. If the grievance is not satisfactorily adjusted at this meeting the chief shall, within seven (7) days thereafter answer the grievance, in writing.

- Step 3. If the grievance is not satisfactorily settled at Step 2, the employee or the Union shall have seven (7) days to appeal to the Mayor. The seven (7) days shall run from the date of the receipt by the employee or the Union of the Chief's written answer or seven (7) days from the date such decision was due. The Mayor shall make such investigation as he deems appropriate and notify the employee or the union in writing of his decision within ten (10) days of the date the grievance was presented to him.
- Step 4. If the grievance is not satisfactorily settled at Step 3, the employee or the Union may submit the matter to binding arbitration pursuant to Article 20 of the contract.

#### 19.6 Matters Relevant to Grievance Procedure

- 19.6.1 The time limits set forth in this article are of the essence. They may, however, be extended by written agreement of the parties. The failure of the Union or the employee to proceed within the time limits set forth shall terminate the grievance at that step. The failure of the Employer to answer within the time limit set forth will entitle the Union to proceed to the next step of the grievance procedure.
- 19.6.2 "Days" shall mean calendar days. In the case of a group, policy, or organization type grievance, the grievance may be submitted directly to the Chief by the Union. Written answers, correspondence, or other papers comprising the grievance, shall be included with the grievance form at Steps 1 and 2.
- 19.6.3 All grievances or answers shall be hand delivered to the employee, Union, representative on duty, supervisor, Chief's office, or Mayor's office, as the case may be and this delivery shall constitute presentation of the grievance or answer.

### ARTICLE 20 ARBITRATION

- 20.1 Either party may submit to binding arbitration pursuant to the then obtaining Voluntary Arbitration Rules and Procedures of the New York State Public Employment Relations Board, an unresolved grievance. A grievance shall be defined as in Article 19, Section 19.4.
- 20.2 The parties shall share equally the arbitrator's fees and expenses, except as provided in Article 19.4 and Appendix "D".
- 20.3 The arbitrator shall have no power to add to, subtract from or modify the terms of this agreement.
- 20.4 If the employee elects to proceed to arbitration, the Arbitrator shall be selected in accordance with the NYS PERB's Rules for the Selection and Appointment of an Arbitrator. In any event, the parties may, at their discretion, mutually agree to an Arbitrator.
- 20.5 Arbitrators shall be requested to render their decision within thirty (30) calendar days of the date that the arbitration hearing was concluded, or within thirty (30) calendar days of the submission date of any briefs, should such be required.

### ARTICLE 21 DISCIPLINE

#### 21.1 Exercise of Rights

- 21.1.1 No employee shall be disciplined or otherwise removed except in accordance with the provisions of this Article. Notwithstanding this provision, a newly hired probationary employee may be disciplined or removed without written charges or a hearing in the first year of his/her appointment provided that the probationary employee is given the reason for discipline or removal in writing. Said discipline or removal shall not be subject to arbitration.
- 21.1.2 An employee against whom a disciplinary action or measure is pending may elect to follow Sections 75 and 76 of the Civil Service Law or the procedure set forth hereunder. The employee's selection of one shall preclude the use of the other.

- 21.1.3 A disciplinary measure may be imposed upon any employee for misconduct or incompetence. Where the charge of misconduct or incompetence arises from off-duty conduct such conduct shall be related to the employee's responsibilities as an employee. Such disciplinary measures shall consist of one or more of the following: oral reprimand, written reprimand, suspension, loss of leave credits, demotion or discharge.
- 21.1.4 Whenever the Employer seeks imposition of any of the above, the employee shall be served a written notice of the specific charges brought against him and the proposed penalty. The notice of discipline shall contain a detailed description of the charges, including dates, times, and places. A copy of the charges shall be sent to the Union at the same time it is sent to the employee.
- 21.1.5 No disciplinary action or measure shall be imposed upon an employee prior to the exhaustion of the appeal procedure set forth herein. An employee may, however, be suspended without pay pending the outcome of such proceedings only if the Employer determines that there is probable cause to believe that the employee's continued presence on the job represents an actual danger to persons or property, or would severely interfere with operations. Suspensions without pay may not exceed thirty (30) calendar days. An employee shall not be entitled to pay, however, during any period in which the Union or the employee is not ready to proceed, or the hearing is adjourned at the request of Union or the employee or the Union or the employee obtain a stay of arbitration. If employee is suspended without pay, the determination shall be reviewable by an arbitrator. Before any suspension begins, the disciplined employee, upon his request, will be allowed to discuss the matter with the Union steward or other authorized representative of the Union before he may be required to leave the premises or his duty assignment. The Employer will make an area available for this purpose. Disciplinary charges shall be served within ten (10) work days of any official verbal notification.

### 21.2 Appeals Procedure

21.2.1 An employee against whom disciplinary charges are brought shall have right to appeal such action. Upon receipt of such notification, an employee shall have eight (8) calendar days to file with the Fire Chief a written response to the charges, a copy of which shall be sent to the Union. The employee, in his response may deny the charges or may admit the charges and accept the penalty proposed or admit the charges but

reject penalty proposed. Should the employee deny the charges, or admit the charges, but reject the penalty proposed, he shall also include in his response whether he desires to utilize Sections 75 and 76 of the Civil Service Law or the procedures set forth in this Article, whether he desires to be represented by the Union or his own attorney.

- 21.2.2 Except as provided in Section 21.1.5 of this Article, it is understood any penalty proposed may not be implemented until the employee:
- (a) fails to file a response within eight (8) calendar days of the service notification of discipline, or
- (b) having filed a disciplinary grievance response, fails to file a timely appeal to arbitration or a request for a Civil Service hearing, whichever the case may be, or
- (c) having appealed to arbitration, until and to the extent that it is upheld by disciplinary arbitrator.
- 21.2.3 In any case where an employee, in his response to the charges, disagree with the penalty proposed or denies the charges brought against him, the Fire Chief or designee shall meet with the Union Grievance Committee within ten (10) work days of receipt of the employee's response in an effort to resolve the matter. Any settlement should be reduced to writing. Under no circumstances may an employee be required to execute a settlement without being afforded a reasonable opportunity to have a representative of the Union or his own attorney present. A copy of any settlement shall be provided to the Union.
- 21.2.4 If the matter is not resolved at the meeting with the Fire Chief or his designee, then the employee, within five (5) work days of the date the meeting is held, may file for arbitration as provided for under Article 20 of this Agreement, or request a Civil Service hearing, whichever the case may be.
- 21.2.5 Disciplinary arbitrators shall confine themselves to determinations of whether an employee is guilty or innocent of the charges being brought against him, and whether the proposed penalty is arbitrary or capricious. Such arbitrators shall neither add to, subtract from nor modify any provisions of this Agreement. The disciplinary arbitrator's decision with respect to guilt or innocence, penalty, or probable cause for suspension pursuant to 21.1.5 of this Article shall be final and binding upon the parties. If the arbitrator, upon review, finds

probable cause for the suspension, he may consider such suspension in determining whether the proposed penalty is arbitrary and capricious.

#### 21.3 Rights of the Parties

- 21.3.1 Either may inspect and copy, upon request, any written statement of witnesses or records which are relevant to the disciplinary charges and which are in the possession of the other party in advance of the date of such proceeding.
- 21.3.2 The Grievance Committee Chairman, the local Union President or his designee, the aggrieved employee, and necessary employee witnesses shall not suffer any loss of time or pay, or be required to charge accrued leave credits as the result of time spent in any disciplinary hearing or arbitration proceeding, during their regular work hours.
- 21.3.3 No employee shall be coerced or intimidated, or suffer any reprisal, either directly or indirectly, including charges that may adversely affect his hours, wages, or working conditions, as a result of his exercising the rights guaranteed by this Agreement.
- 21.3.4 Work shift changes or re-assignments shall not be made for the purpose of imposing discipline, except in cases of demotion. In cases where criminal charges are pending against an employee, the effect of which may seriously affect the employee's ability to carry out the responsibilities of his job assignment, such temporary changes may be made, but only until final disposition of the matter is made by appropriate court action.
- 21.3.5 No employee shall be brought up on disciplinary charges for acts which occurred more than one (1) year prior to the serving of disciplinary charges upon him, except that the above limit shall not apply to acts which, if proved in a court of appropriate jurisdiction would constitute a crime.

#### 21.4 Disciplinary Arbitration Procedure

- 21.4.1 When an employee chooses to file for arbitration in a disciplinary matter under 21.2.4 of this Article, the following procedure shall apply.
- 21.4.2 The employee or the Union on his behalf shall send a notice of demand for arbitration and a copy of the grievance

to PERB by registered or certified mail with a "copy" to the Fire Chief, to the Corporation Counsel and to the Union's attorney, all at the addresses listed in Appendix "C".

- 21.4.3 If the employee elects to proceed to arbitration, the Arbitrator shall be selected in accordance with the NYS PERB's Rules for the Selection and Appointment of an Arbitrator. In any event, the parties may, at their discretion, mutually agree to an Arbitrator.
- 21.4.4 Arbitrators shall be requested to render their decision within thirty (30) calendar days of the date that the arbitration hearing was concluded, or within thirty (30) calendar days of the submissions date of any briefs, should such be required.
- 21.4.5 No arbitrator functioning under this Section shall have the power to amend, modify, or delete any provision of this Agreement. The decision of the arbitrator shall be final and binding on both parties, who shall share equally the fees and expenses of the arbitrator.

### ARTICLE 22 LABOR MANAGEMENT COMMITTEE

22.1 Labor Management Committee shall be established within thirty (30) days of the signing of this Agreement. The Committee shall consist of up to four (4) members appointed by the Union and up to four (4) members appointed by the City.

A meeting may be requested by either party and shall be held within ten (10) days of the request, at a time and place mutually agreed-upon. Meetings shall not be held more frequently than once in each calendar month unless the parties have agreed to the holding of such an additional meeting. Up to two (2) unit members will be released from duty without loss of pay to attend such meetings.

The Committee shall consider matters of mutual concern pertaining to improvement in working conditions, morale, and safety, as well as occupational education and welfare.

Official minutes of the meeting shall be taken.

### ARTICLE 23 UNIFORMS

- 23.1 The City shall supply the required uniform to each member. The City shall replace all uniforms becoming unusable through normal wear and tear in the course of duty and also uniforms seriously damaged or destroyed in the course of duty.
- 23.1.1 Each new member shall be issued 2 pair of pants, 2 long sleeve shirts, 2 short sleeve golf shirts, and 1 pair of uniform shoes. These items will be replaced as needed at no cost to the member.
- 23.2 The City shall issue to each bargaining unit member one full dress uniform, and one full dress uniform to each new firefighter upon completion of Training School. After promotion to Lieutenant, the City will issue a dress uniform coat to the promoted member. Upon promotion beyond Lieutenant, the City will issue new insignias to the promoted member and will pay for the insignias' application. The City will not pay for replacement or alteration of the uniform once issued by the City.
- 23.3 In addition to the uniform replacement provided for in Article 23 of the contract, an annual uniform maintenance allowance will be payable to each member the first pay period in July of each year as follows:

## Effective 1/1/2023

\$500.00

# ARTICLE 24 SAFETY COMMITTEE

24.1 A safety committee shall be created which shall consist of one man designated by the Union and one man designated by the City. A firefighter shall report any safety problems which he discovers to his supervisor officer, and if no action is taken within a reasonable time, he shall then report it to a member of the safety committee.

The safety committee shall investigate and report their findings to the Chief. The Chief shall then take prompt and appropriate action upon receipt of the report.

24.2 Since all Albany Firefighters are presumed to be subject to duty 24 hours per day, 7 days per week, any action taken in the City of Albany by a member of the Department on his time off, which would be appropriate if taken by an Albany Firefighter or officer on active duty, if present or available, shall be considered official action, and the Firefighter shall have all the right to benefits concerning such action as though he were then on active duty. In the event that an employee is faced with a civil claim arising out of an incident in the City of Albany related to his service with the Department (except acts of willful misconduct or gross negligence), the City will provide legal counsel for his protection and hold him harmless from any financial loss.

# ARTICLE 25 FIRE DEPARTMENT COMMITTEES

25.1 The Union President and the Fire Chief will meet to discuss Committee membership. The Union has the right to select one of the members of each committee. The Union can recommend additional members, however, the final selection is to be made in the sole discretion of the Chief.

# ARTICLE 26 BULLETIN BOARDS

26.1 Reasonable space shall be provided in each house for bulletin boards for the use of the Union.

# ARTICLE 27 MEDICAL ATTENTION

27.1 An employee taken sick or injured while on duty may choose to go to either the Fire Department physician or to the Emergency Room of any hospital in the City of Albany. The employee shall have the right to go immediately but must notify his superior that he will be gone. The City shall be responsible for the Emergency Room expenses incurred because of job related illness or injury that are covered by Workers Compensation or 207-a of the General Municipal Law.

# ARTICLE 28 HEALTH INSURANCE

28.1.a Health Insurance. If the City wishes to change the existing health insurance plan, the City shall present proposals to the Union for discussion and possible agreement on these

proposals. If no proposal is agreed upon, then an expedited arbitration will commence with an arbitrator to be chosen pursuant to the Voluntary Grievance Arbitration Rules of the New York State Public Employment Relations Board (Part 207 of the PERB Rules). The issue of the arbitration will be whether the new City proposal grants substantially equivalent coverage to members of the bargaining unit. The arbitrator's decision will control as to whether the City has the right to make any such change.

- 28.1.b For further discussion on health insurance in the City of Albany, including firefighter health insurance, see attached Opinion and (Interest Arbitration) Award, PERB Case No.: IA 2013-014. M2012-289 (Campagna Award, dated April 2015. Appendix "F" hereto.)
- 28.2 Effective July 10, 2015, all bargaining unit members who accept Health Insurance benefits under the health insurance agreements shall pay 10% of the premium cost for such coverage for the duration of their employment with the City.
- 28.3 Effective on July 1, 2015, the health insurance offerings to bargaining unit members shall be CDPHP (Group #10000479-0004) or the City Empire Blue Cross/Blue Shield PPO Option (Group #997182-020) with the following components:
  - a) A utilization review management process shall be instituted with:
    - 1. Pre-Certification component with utilization review;
    - 2. Mandatory second surgical opinion, and
    - 3. Mental health/Substance inpatient utilization review.
  - b) There shall be mandatory mail order for custodial/maintenance drugs.
  - c) There shall be mandatory generic substitution for prescription drug coverage.
  - d) Prescription drug co-pay shall be as follows:
    - BCBS PPO: \$2/\$7/\$20 [Generic/Formulary/Non-formulary]
    - CDPHP: \$5/\$20/\$35 [Generic/Formulary/Non-formulary]
  - e) The City will comply with NYS Timothy's Law/Mental Health Parity Act The Act requires insurers to provide the same level of benefits for mental illness or substance abuse as for other physical disorders and

diseases. These benefits include visit limitations, deductibles, copayments and lifetime and annual limits.

- 28.4 Immediately upon the effective date of this Agreement, the City shall undertake those steps necessary to adopt for the members of the bargaining unit the provisions of Section 125 of the Internal Revenue Code for all benefits, including but not limited to Health Insurance contributions, unreimbursed medical expenses and the cost of dependent care.
- 28.5 Any health insurance provided by this Agreement will continue to be provided to the surviving spouse and eligible dependents of any employee who dies as the result of a jobrelated injury or illness at no cost to the surviving spouse or dependents, until the death or remarriage of the surviving spouse or the dependents no longer qualify.

A member who qualifies for a job-related death benefit pursuant to the New York State Retirement and Social Security Law will be presumed to have died as a result of a job-related injury or illness.

- 28.6 Employee Assistance Program and Substance Abuse Screening. The parties agree to implement the Employee Assistance Program (E.A.P.) and substance abuse procedures as set forth in a memorandum agreement that is executed contemporaneously with this agreement.
- 28.7 Effective January 1, 2007, any employee who can show health insurance coverage under a spouse's or other's health insurance may opt out of a City health insurance plan and receive annually \$1,500.00 for opting out of an individual plan and \$5,000.00 for opting out of a family plan. Employees who opt out of a City plan in mid-year will receive a pro-rated amount of the buy out for that year. Employees who opted out of a City plan and wish to be reinstated in mid-year may do so, but a pro-rated amount of the buyout received during that year must be paid back to the City. Opting out or opting in of a City health insurance must be done in accordance with the terms and conditions of the particular health insurance plan and the City's personnel policies and procedures.

## 28.8 <u>Dental and/or Vision</u>

The City will provide dental and/or vision benefits (per the City Non Union - White Collar Plans) to the employee,

subject to the employee contribution of 10% toward individual premium or 25% toward the family premium, as applicable.

### ARTICLE 29 COPY OF CONTRACT

- 29.1 A copy of the contract shall be supplied by the City to each member of the Department. The cost of publishing the contract shall be borne equally by the City and the Association with all printing being performed in a Union shop and with the letting of contracts for such work being subject to the legal restrictions regulating the Employer's solicitation of bids and placement of orders.
- 29.2 Rule Book Updating. The Department Book of Rules and Regulations shall be revised and brought into concert with this contract within six (6) months of the effective date of same.

### ARTICLE 30 RETIREMENT PLAN

- 30.1 All employees in the bargaining unit and all new employees shall be eligible for coverage under the provisions of the Policemen's and Firemen's Retirement System of New York State 384, which provides for retirement at one-half (1/2) pay after twenty-five (25) years of service, the full cost of which shall be borne by the employer, and shall be adopted by the employer effective November 1, 1980.
- 30.2 As of January 1, 1988, the City agrees to adopt and all employees in the bargaining unit shall become eligible for plan 384-D (twenty year, half pay) and 384-F (1/60th credit after 25 years).
- 30.3 The City shall take those steps necessary to implement one year final average salary benefits set forth in Section 302(9)D of the New York State Retirement and Social Security Law for all Tier I employees.
- 30.4 On the conditions set forth herein, the City will, effective January 1, 2004, provide to all members of the bargaining unit the benefit described in Retirement & Social Security Law Section 384-e at no cost to the bargaining unit members and will take any necessary actions and bear the costs to permit members who are not currently enrolled in RSSL Plan 384-d to enroll in RSSL Plan 384-d. It is understood and agreed

by APPFA and the City that the City will provide the 384-e benefit and make arrangements to permit members who are not currently enrolled in 384-d to do so only if the City is successful in getting passed in the New York State Assembly and Senate and signed into law by the Governor all three of the following separate pieces of legislation: (1) legislation which re-opens the 384-d plan to those bargaining unit members who are not currently enrolled in said plan; (2) legislation which offers the 384-e plan to all bargaining unit members; and (3) legislation which allows the City to bond over a twenty year period the costs associated with (1) and (2) above. It is further understood and agreed by APPFA and City that if the City is not successful in obtaining all three of these pieces of New York State legislation in time to provide the 384-e benefit and permit enrollment in 384-d as set forth above prior to January 1, 2004, then the City's obligation to provide the 384-e benefit and to permit enrollment in 384-d shall on that date be rescinded, extinguished, and terminated. In that event, APPFA's sole remedy, in full satisfaction and in liquidation of any and all damages sustained, shall be: (1) retroactive pay raises for its members of 3% effective January 1, 2002, 3% effective January 1, 2003, and 4% effective January 1, 2004, to be paid on or before January 31, 2004, offset by any pay raises given to members from January 1, 2002 to December 31, 2004; and (2) a 4% pay raise for its members effective January 1, 2005, in lieu of the 3% raise already agreed upon for calendar year 2005.

It is understood and agreed by APPFA and the City that the consideration for the City providing the 384-e and 384-d benefits referenced above is APPFA's acceptance of a 0% pay raise for 2002, a 1% pay raise on January 1, 2003, a 1% pay raise on July 1, 2003, a 2% pay raise on January 1, 2004, and a 3% pay raise on January 1, 2005, and that such will be noted in the collective bargaining agreement.

# ARTICLE 31

#### **EMS**

### [THESE ARE FROM AN MOA DATED JUNE 15, 2017.]

- 31.1 Statement of Policy: Both the Employer and the Union recognize the importance of the EMS program and both parties are committed to the purposes of delivering this service at the highest levels of professional standards.
- 31.2 For the purpose of this section the following definitions shall apply:

- (a) Firefighter shall include the ranks of lieutenant and captain;
- (b) EMS means the Emergency Medical Service of the Albany Department of the Albany Department of Fire and Emergency Services;
- (c) EMT means an emergency medical technician as certified by the State of New York and the Regional Emergency Medical Organization (R.E.M.O.). The term includes EMT levels 1, 2 and 3, also known as EMT, intermediate.
- (d) Paramedic means a paramedic certified by the State of New York and R.E.M.O. at the EMT P or EMT 4 level.
- 31.3 To be eligible for the paramedic certification program, the firefighter must be a certified EMT.
- 31.4 Selection Procedure: Paramedic Program Upon notice from the Chief that the Department intends to enroll Firefighters in the Paramedic Certification Program, any eligible Firefighter EMT may apply by submitting a request in writing to the Chief. The Firefighter EMT submitting the request shall be required to complete the necessary screening procedures. The final selection from the program shall be made from those Firefighter EMTs successfully completing the screening procedures. The Department will send a minimum of five members per year to paramedic school, and they will be selected by strict departmental seniority.
- 31.5 Upon notice from the chief that the Department intends to enroll firefighters in the EMT program, any firefighter may apply by submitting a request in writing to the Chief. Selections shall be made by seniority.
- 31.6 Successful Completion: Paramedics upon successfully completing all of the requirements and being certified by R.E.M.O. and the state as a paramedic, a firefighter shall be placed on the eligible list for his rank by seniority. Permanent vacancies shall be filled by canvassing the list starting at the highest placement. If no firefighter on the list accepts the permanent vacancy, then the lowest person on the list will be assigned.
- 31.7 a. Each Paramedic and EMT agrees to faithfully serve in that capacity for the duration of his certification period,

which is currently three (3) years. A firefighter will not be prejudiced in any way by service in the paramedic unit with respect to promotions in rank.

- b. Effective January 1, 2023, any AFD on-line Paramedic working a Paramedic rig position on Rescue 1, Rescue 2, Rescue 9, Paramedic Engine 10, exclusively, for straight pay or overtime pay, shall receive an additional \$2.00 per hour for the hours worked on these respective units. This additional \$2.00 per hour will not be paid to any member not actually working on those above listed positions (including Vacation, Kelly Day, Comp Time, Sick Time, etc.)
- 31.8 Upon the expiration of a firefighter's paramedic certification, he shall be temporarily assigned to any company in the Chief's discretion until such time as he successfully bids into another job opening.
- 31.9 Any certified Paramedic or EMT who opts to enroll in departmentally sponsored recertification program for a period of up to two (2) weeks once in every three (3) years shall be subject to temporary scheduling reassignment to coincide with the scheduling of a recertification program. All time spent in the recertification training sessions of either a Departmentally sponsored program shall be credit as hours worked. In all other respects, the provisions of this Article, which apply to initial certification shall apply to recertification.
- 31.10 Compensatory Time: All time spent in the EMS Certification or recertification process which is in addition to a Firefighter's scheduled tour of duty, shall entitle the Firefighter to equivalent compensatory time off. The certification and recertification process includes all time spent in class, lab, emergency rooms, testing and required continuing education programs, but does not include self study. The compensatory time which the firefighter earns under this

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Article may be taken at any time upon reasonable notice to the Department but in any case must be taken within one year after the conclusion of the training program provided that the public safety shall not be jeopardized by the Firefighter's absence from duty on that particular date. All Firefighters shall receive overtime pay for all time spent taking certification or recertification examinations.

- 31.11 The Department shall pay the full cost of the tuition and required books for this program.
- 31.12 The parties recognize the Civil Service Commission requirement that the firefighters whose date of employment is on and after January 5, 1987, Lieutenants whose date of promotion to Lieutenant occurred on or after June 8, 1989 and Captains whose date of promotion to Captain occurred on or after October 14, 1988 possess throughout their career an EMT certification. The parties recognize that EMT certifications expire at periodic intervals and that said certifications may be renewed by successfully completing the New York State certification process. It is the intent of this Section to provide a remedy for those situations where an employee required to maintain EMT certification, has his or her certification expire, for whatever cause.
- 31.12.1 The City agrees that it shall, on or about January 1 and July 1 of each and every year, publish in each fire house a list showing the names of all members who possess EMT or A/EMT certification and the respective expiration dates of said certifications.
- 31.12.2 In the event that the New York State Department of Health EMT and Paramedic Certification Pilot Program is discontinued or the City does not participate in it, then the City shall cause a written notification letter or memorandum to be issued to each individual EMT or A/EMT at least six months prior to the expiration of said member's EMT or A/EMT certification notifying said member of the expiration date.
- 31.12.3 Any EMT who is required to maintain EMT certification as a condition of employment or promotion as set forth above whose EMT certification expires shall, in the case of firefighters hired on or after January 5, 1987 be placed on an one (1) year unpaid leave of absence until he or she secures EMT certification. Any EMT who is required to maintain EMT certification as a condition of employment and who is placed on one (1) year unpaid leave of absence pursuant to this section

but does not secure EMT certification within that one (1) year shall be terminated from the Department in accordance with this Agreement's disciplinary procedure. With regard to an EMT who is not required to maintain EMT certification as a condition of employment but is required to maintain EMT certification as a condition of promotion, if his or her EMT certification expires he or she will be paid at the next lower rank until he or she secures EMT certification.

- 31.12.4 The unpaid leave of absence or reduction in salary specified above shall occur automatically upon a member reaching his or her EMT expiration date without having filed with the Chief in writing one of the following:
  - a) A valid EMT card with new expiration date or
  - b) An on-site scoring passing letter issued by the State of New York
  - c) Proof of having participated in the New York State certifying examination prior to the existing cards expiration and not been notified of failure or
  - d) Proof of enrollment in the New York State recertification course scheduled to test prior to the EMT card expiration date.
- 31.12.5 The Chief of the Department may grant an extension to secure EMT recertification where in his opinion extraordinary circumstances exist. This discretion shall be solely within the prerogative of the Chief and his exercise or non-exercise of it shall not be subject to the grievance procedure.
- 31.13 Each new firefighter hired after June 15, 2017, must be a NYS paramedic in order to reach top grade firefighter. If paramedic status is not obtained or is obtained and not maintained, the firefighter remains at or returns to their scheduled pay grade where they are at the time. If they were Top Grade, they would return to Firefighter Grade 4 status. They would not advance past 4th Grade until the completion of their tenth year. At that point they will become Top Grade and receive all the benefits that apply.

Each new hire on or after June 15, 2017, will file a letter of intent to take or not take the Paramedic course thirty days prior to their first anniversary date. If the member decides that they do not wish to become a Paramedic, the member will not

advance past fourth grade until completion of their tenth year of service. At that point they will become Top Grade and receive all the benefits that apply. If they elect to become a Paramedic and if the City does not provide the course by top grade, the firefighter shall be enrolled in the course at the earliest class, per strict department seniority and shall be made top grade firefighter with all the benefits that apply. If a member fails, is dismissed, or withdraws from the paramedic program before the completion of their tenth year of service, they shall be paid at the fourth grade rate until they complete their tenth year of service. The City will pay for each member of the department to go to Paramedic school one time.

Any member hired after June 15, 2017, who elects to enroll and completes the paramedic program, must maintain their paramedic certification for a period of six (6) years.

Current members with seniority dates before June 15, 2017 will be excused from the requirement of becoming a paramedic. Members who are currently being held at fourth grade will be moved to top grade as of June 15, 2017.

If a member is promoted before they have gone to paramedic school, and then fails, is dismissed, or withdraws from the course, they will not be afforded the opportunity to take the next promotional exam until they have ten years of service completed.

Any member who is hired prior to June 15, 2017 will be required to keep their certification for a period of three years.

- 31.14 Upon receipt of documentation of attendance, the City will reimburse annually at the overtime rate all off duty hours spent by Paramedics for continued medical education required by REMO. The City will only reimburse for the minimum number of requisite hours.
- 31.14.1 All personnel must submit proof of attendance at any continued medical education course within ten (10) calendar days of completion of said class in order to receive the reimbursement.

### 31.15 PARAMEDIC PRECEPTOR

Paramedics who have completed the approved paramedic preceptor training program, and have been approved by the

Medical Director and the ALS Coordinator will have their names forwarded to the Chief of the Fire Department for assignment.

Paramedics who have been approved and assigned a paramedic intern will receive three (\$3.00) dollars an hour for actual time spent precepting their intern. The Preceptor must provide proof of the actual time spent in order to receive any payment.

The Preceptor process will be outlined in the S.O.P. pertaining to "Paramedic Preceptors".

The City will offer preceptor training annually in the month of February.

### ARTICLE 32 SICK LEAVE BANK

32.1 Personal Sick Leave - To act as a group incentive to encourage responsible use of sick leave effective January 1, 1998, the City shall maintain a personal sick leave bank of 1,000 24-hour days at the start of each calendar year, to be drawn down on an hourly basis by individual personal sick leave use, not to include catastrophic individual cases of greater than seven work days duration. On or before the third workday a firefighter on sick leave must produce a doctor's certificate or report to the Department physician for a medical evaluation to determine whether light duty is appropriate. At the end of each calendar year, the bank's remaining hours shall be divided equally among the entire bargaining unit on a per-person basis and credited to each individual Employees Vacation Buy-Back, without regard to the vacation bank's 240 hour cap. This provision is not intended to affect treatment of Section 207-a on the Job Injuries or Illnesses absences for which will not be deducted from the sick bank.

# ARTICLE 33 LEGISLATIVE APPROVAL

33.1 Except as otherwise provided for herein, it is agreed by and between the parties that any provision of this Agreement requiring legislative action to permit its implementation by amendment of law, or by providing the additional funds therefore, shall not become effective until the appropriate legislative body has given approval.

# ARTICLE 34 CONTINUATION CLAUSE

- 34.1 The terms and conditions of this Agreement shall continue until December 31, 2026, and for each succeeding year beyond that unless either party shall give notice, a minimum of sixty (60) days prior to the termination date of the Agreement of its intention to seek modification of any of the terms of said Agreement
- 34.2 Any grievance arising under the terms of this Agreement after December 31, 2026, shall be subject to the grievance procedure and binding arbitration provisions of Articles 19 and 20 of this Agreement, which shall continue in full force and effect.

### ARTICLE 35 LIGHT DUTY

- 35.1 The purpose of this Article is to provide for a light-duty work program for members of the Albany Department of Fire and Emergency Services. It is the intent of the parties that members, injured or taken ill as a result of on or off the job incidents, who are unable to perform their regular duty but certified by the Department Physician as able to perform light-duty participate in this program.
- 35.2 It is not the intent of the parties that any positions currently held by full duty personnel be replaced with light-duty personnel, except if a non-job related illness exceed one year in duration in which case the member with the non-job related illness or injury, who is capable of working light-duty, can replace a staff type position only.
- 35.3 No members shall be assigned to light duty until certified able to do so by the Department Physician. Such certification shall contain specifications on the particular types of light duty that may be performed or hours to be worked per day.
- 35.4 There is hereby created a light-duty reserve pool which shall be located at Fire Headquarters and which shall comprise those members of the Department assigned to light duty with the exception of those members remaining with their original Bid companies pursuant to Section 35.8.
- 35.5 Members assigned to the reserve pool may bid light-duty positions, and be subject to be detailed to temporary

light-duty assignments, using the same bidding and detail procedures set forth in Article 8. Examples of the types of light-duty positions intended are B.C. Aide, Repair Shop Aide, Fire Prevention, EMS Assistant and Training Assistant.

- 35.6 The work schedule of a member assigned to the light duty reserve pool shall be an eight hour shift per day, Monday through Friday, averaging no more than forty (40) hours per week over the course of a year, except when the Department Physician shall, for medical reasons, restrict the member to a lesser amount of hours worked per day or where the particular position, such as B.C. Aide, requires round the clock staffing. Members who can work a lesser amount than forty (40) hours per week shall be assigned within the Monday to Friday normal business hour time frame. Members, either line of duty or non-line of duty, who are working a light duty Monday to Friday schedule, shall receive all holidays off and continue to receive holiday pay and all other contractual benefits.
- 35.7 Members who are injured or ill due to a non-job related injury but certified as able to perform light duty shall be immediately reassigned to the light-duty reserve pool located at fire headquarters.
- 35.8 Members who are injured or taken ill due to a jobrelated injury but able to perform light duty shall for the first forty (40) days of such light duty remain on their regular work schedule with their regular company, subject to the right of the Chief to detail said members to the reserve pool from 8:00 a.m. to 4:00 p.m., Monday through Friday, that falls on the member's regular work schedule. Upon the expiration of forty (40) days on light duty, said member shall be reassigned to the light-duty pool located at Fire Headquarters. The work schedule of such member shall be Monday through Friday, 8:00 a.m. to 4:00 p.m., averaging not more than forty (40) hours per week over the course of a year, except where the Department Physician shall, for medical reasons, restrict the members to a lesser amount of hours worked per day, or where the light-duty position as bid by the Department calls for a traditional 24/72 work schedule.
- 35.9 The Department shall have the option of designating staff positions within the Department as light duty only. In such a case, the Department shall fill the position using the procedure set forth in Article 8 of this Agreement, but the eligible candidate shall be restricted to the current members of the light-duty pool.

- 35.10.1 Members assigned to light duty less than twelve (12) months shall retain their company bid positions and be entitled to return to said company upon certification of the Department Physician of their medical ability to resume full duty. Members assigned to light duty in excess of twelve (12) months shall be deemed to have surrendered their company bid and that position shall be filled in accordance with Article 8.
- 35.10.2 Members on light duty for less than twelve (12) months have all of the bidding rights specified in Article 8.3. If a member bids a new company during his tenure in light duty, he must return to full duty before the expiration of the original twelve (12) month period. If he fails to make it back to full duty, he will lose the bid and this spot will be put out to Department-wide bid. If he has been on light duty for more than twelve (12) months, he is not eligible to place a bid, except for light-duty positions.
- 35.11 Members who are assigned to light duty due to an off-the-job injury or illness shall be required to take their regular service retirement immediately upon becoming eligible for the same. Members who are subject to this requirement who fail to so retire shall be placed on unpaid leave of absence, without further accrual of fringe benefits, upon obtaining the minimum number of years necessary to qualify for a minimum service retirement pension, whether twenty (20) or twenty five (25) years of service, and not having retired. Members will not be permitted to switch from the twenty (20) to twenty-five (25) year service retirement plan after having been so injured.
- 35.12 Members assigned to light duty who file, or have filed for them by the Department, an application for accidental disability retirement or line of duty disability retirement, under section 363 or 363-c of the Retirement and Social Security Law shall, as of the date of such application, be placed on paid leave of absence for a period of nine (9) months or until said application is granted, whichever shall occur earlier. In the event said member applies and is denied he or she shall be returned to light duty with the reserve pool.
- 35.13 Members assigned to the light-duty reserve pool shall bid for vacations in accordance with Article 12, with the exception that the City agrees that a maximum of one out of every five members of the pool may be on vacation at the same time, and that members of the pool injured in the line of duty shall pick prior to members injured off the job. Members who

have picked their vacations prior to injury or illness shall receive their vacation as picked.

- 35.14 All current light-duty personnel and positions shall be covered by the provisions of this Article 35, including but not limited to, the initial bid process.
- 35.15 Members of the bargaining unit who have been injured or taken ill in the performance of duty (section 207-a GML members) or off the job (non-section 207-a GML members) who dispute any aspect of a light-duty capability determination made by the Department Physician may submit such dispute to arbitration in accordance with Article 20.4 hereof.
- 35.16 Nothing contained in this Article 35 is intended to waive or limit any rights which disabled, sick or injured bargaining unit members may have under the "Americans with Disabilities Act"

# ARTICLE 36 PERSONNEL FILE

- 36.1 All employees shall have the right to review their official Department personnel file upon request to the Fire Chief.
- 36.2 Employees shall receive a copy of all communications that are to be entered into their official Department of Fire and Emergency Services personnel file and shall be afforded the opportunity to initial such communications prior to entry.

# ARTICLE 37 MILITARY LEAVE

37.1 Employees absent on military duty as members of the organized militia or of reserve forces or of reserve components of the armed forces of the United States shall be granted leave of absence with no loss of time or pay not to exceed thirty (30) working days pursuant to Sections 342 and 343 of the New York State Military Law. For purposes of this Article, a twenty-four (24) hour shift constitutes three (3) working days. Copies of orders for military duty shall be submitted to the Chief as soon as the orders are received by the employee.

# ARTICLE 38 ANNUAL PHYSICALS

- 38.1 The City may require an employee to take a medical examination. The examination shall be given every three (3) years for an employee under thirty (30) years of age, every two (2) years for an employee under forty (40) years of age, and every year for an employee forty (40) years of age and older, and all employees assigned to the tactical unit The period for physicals shall be measured from the date of successful completion of the last physical.
- 38.2 The physical shall consist of an examination that determines whether the employee meets the physical levels required to perform the employee's regular duties as set forth in professionally accepted and recognized standards agreed to by the parties. The parties agree to use the physical standards as set forth in NFPA 1500 until a different standard is agreed upon to replace NFPA 1500. The examination requirements shall be contained in a written statement setting forth the required levels necessary to meet the minimum standards for the individual employee to perform their regular duties and the protocols for evaluating the required levels.
- 38.3 The examination shall be administered by the city doctor or at the option of the employee by the employee's personal physician. The schedule of the examination shall be announced sufficiently far in advance to permit the employee to schedule the physical with their personal physician. This appointment with the employee's personal physician will be made for a non duty day, and will be at the employee's own expense. The physical with the department physician will be scheduled for the employee's regular work day. If the physical is not scheduled for a regular work day, the employee will receive pay for the period of the physical at his overtime rate of pay. If an employee exercises the right to have a personal physician perform the physical, no additional pay will be earned for the period of the physical.
- 38.4 If an employee does not meet the minimum standards, they will be placed on light duty pursuant to the terms of the light duty clause of the Agreement.
- 38.5 If the employee does not complete the examination within ten(10) days of the scheduled date for the physical, the employee will be placed on light duty. . If the physical is not completed within thirty (30) days of being placed on light duty, the employee shall be subject to discipline. The City will

inform the employee in writing regarding the potential for discipline prior to imposing discipline by sending a warning letter to the employee's home and to the employee's Battalion Chief. Employees may use their personal physician to complete their physical. If they do not do so, the City has the right to order a physical with the Fire Surgeon.

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- 38.6 In the event that an employee is found to not meet the minimum standards, the employee shall have the right to submit a written report from a qualified physician certifying that the employee can perform the essential functions of the employee's regular job duties either with or without a reasonable accommodation despite the physical limitation. The City reserves the right to challenge that determination. In such a case, the dispute shall be submitted to an arbitrator under the parties' arbitration clause for final determination.
- 38.7 Either the employee or the City has the right to contest a finding that the employee does or does not meet the minimum levels required for the performance of their regular duties based on the submission of a written report from a qualified physician that sets forth the basis for the challenge. In such a case, the parties shall submit the dispute to arbitration pursuant to the parties' arbitration clause. Prior to such arbitration, the parties have the mutual obligation to provide disclosure of all medical records and the employee will make himself/herself available for a medical examination.
- 38.8 In any case where there is a dispute over whether an employee meets the minimum levels to perform the employee's regular duties, the employee will be placed on light duty pursuant to the terms of the Agreement pending the resolution of the dispute.
- 38.9 All medical records under this Article will be maintained in a separate file and kept strictly confidential. No record will be disclosed except on a need-to-know basis.

# ARTICLE 39 DEFERRED COMPENSATION PLAN

Effective January 1, 1995 all bargaining unit members shall have the City's deferred compensation plan made available to them.

# ARTICLE 40 INSTRUCTOR PAY

Effective January 1, 2023, the Instructor pay rate shall be equal to the New York State OFPC pay rate, as follows:

- State Fire Instructor (LEAD): \$34.84 (the main lecturer of the day)
- State Fire Instructor Skills (LAB): \$29.05

These 2022-23 pay rates are subject to change when changed by NYS OFPC.

# ARTICLE 41 FIRE PREVENTION UNIT

- 1. The work of the Fire Prevention Unit will be conducted from 8:00 a.m. to 4:00 p.m. unless altered by the direction of the Fire Chief when it is necessary for members of the unit to participate in training or to obtain certifications. The Chief will provide a minimum of five calendar days of notice to the firefighter and the Union prior to implementing any scheduling changes. Scheduling changes will not interfere with pre-approved vacation days.
- 2. In order to qualify as a member of the Fire Prevention Unit an employee:
  - a. Must be able to respond to the scene of an emergency within thirty (30) minutes from the call-in.
  - b. Should have, or be willing to obtain the appropriate New York State certifications to work in the Codes Division as well as the Department's Fire Prevention/Investigation Unit.
  - c. Should be a New York State Code Compliance Technician and must be certified as CEO as such training class is made available by the State.
  - d. Must commit to the position for a period of three (3) years. This will not affect any future promotions.
  - e. Will be required to take scheduled "on-call" time with other Fire Prevention Unit members.

# ARTICLE 42 RESCUE SQUAD SELECTION

- A. All members of the Rescue Squad will be selected using the procedure described below.
- B. Open positions will be bid in the usual manner.
- C. Bidding firefighters must have a minimum five years of service with the Department. If no one with five years bids, then the position will be re-bid and the senior member with less than five years will be selected using the following procedure.
- D. The member that is most senior will then be given a skills review by the Squad Officer of the Shift which the bidding firefighter is assigned. For example there is a bid for the Squad on D shift, a firefighter on A shift is the senior bidder, he/she will have the Squad Officer of A shift do the skills review. The review will be observed by the Chief and/or his/her designee along with the Union President and/or his/her designee.
- E. The skills review procedure will be as follows:
  - i. The candidate will don a Class A Haz Mat suit, walk fifty feet, pick-up a tool, and return to the starting point.
  - ii. Crawl through a ten foot length of sewer pipe (24" in diameter).
  - iii. Climb a twenty-four foot extension ladder in full turnout gear.
  - iv. Demonstrate tying rescue knots, a figure eight on a bight and a clove hitch.
  - v. Climb an aerial ladder which is not extended but is at a 70 degree angle.
  - vi. Satisfactorily identify various hand, electric, and hydraulic tools used in the department, as administered by the Officer on the Squad.
- F. Candidates who have passed satisfactorily shall be awarded the bid. If there is a dispute that the candidate has passed, the decision will be determined by the Chief, the Union President and the Officer of the Squad. The decision will be determined by the majority. If the candidate fails any part of the test, the next senior candidate will be tested.

- G. Members requesting a position on the Rescue Squad must be aware of the commitment that is required to be a member of the unit and the extensive amount of training that will be required to be an effective part of the team. Some training may be required that is out of the area. Since the overtime pool is reduce, some members may be required to take mandatory overtime.
- H. The Fire Chief shall determine the total personnel necessary for the Rescue Squad. At no time shall the total personnel on the squad be less than four. Said number may vary from time to time. Rescue Squad members will not be detailed off the company whenever "required" training is taking place. Examples would be certifications, large scale drills, county drills, etc. Members may be detailed after the training concludes.
- I. For the safety and integrity of the Rescue Squad and those it serves, overtime opportunities in the Rescue Squad shall be limited to those assigned to the Rescue Squad.
- J. In the event that the opening is an Officers position, the skills review will be given by the Squad Officer where the bidding Officer is currently assigned. If there is no Officer on the bidding Officer's shift; then the senior Officer will do the skills review and will be paid overtime if required. The review will be observed by the Chief and/or his/her designee and the Union President and/or his/her designee.

The APPFA shall exercise their option to re-open negotiations by written notice to the City within thirty (30) days of the APPFA's knowledge of such higher compensation agreement or when they reasonably should have had knowledge of such higher compensation.

CITY OF ALBANY

KATHY\SHEEHAN

Mayor

JOSEPH GREGORY

Fire Chief

ALBANY PERMANENT PROFESSIONAL FIREFIGHTERS ASSOCIATION LOCAL 2007, IAFF, AFL-CIO

ROBERT MENGEL

President

YN MCGEARY

Vice President

Secretary

Treasurer

Battalion Chiefs Rep.

#### APPENDIX "A"

- 1. Full-duty Firefighters assigned to the Headquarters shall have their annual salary rate supplemented by an additional \$500.00. This shall not apply to light-duty personnel, if any.
- 2. Members shall receive a code enforcement stipend of \$1400.00 annually, effective on January 1, 1998, to be paid as follows: \$700.00 in the first pay period of March and \$700.00 in the first pay period in September in a separate check. The stipend shall be added to an employee's regular rate of pay for the purpose of calculating overtime.
- 3. Employees who possess and maintain certification as Emergency Medical Technicians ("EMT") or Paramedics shall receive an annual stipend in a separate check during the first pay period of January.

Effective January 1, 2009, the EMS stipend for EMTs will be \$1,000.00; the EMS Stipend for Paramedics will be \$2,100.00.

Effective December 31, 2011, the EMS Stipend for EMTs will be \$1,100.00; the EMS Stipend for Paramedics will be \$2,200.00.

The EMT/Paramedic stipend shall be added to the employee's regular rate for the purpose of calculating overtime.

THIS PARAGRAPH #3 SHALL BE DELETED, EFFECTIVE FEBRUARY 15, 2023 (SEE PP. 22-23 HEREOF).

PROFESSIONAL FIREFIGHTERS & BATTALION CHIEFS SALARY TABLE 2011-26						
RANK	GRADE	STEP	01/01/2011	01/01/2012	01/01/2013	01/01/2014
Firefighter	F.1	1	37,610	38,738	39,707	39,707
Firefighter	F.1	2	40,503	41,718	42,761	42,761
Firefighter	F.1	3	46,288	47,677	48,869	48,869
Firefighter	F.1	4	52,076	53,638	54,979	54,979
Firefighter	F.1	5	57,865	59,601	61,091	61,091
Firefighter (EMT)	F.2	1	38,451	39,605	40,595	40,595
Firefighter (EMT)	F.2	2	41,409	42,651	43,718	43,718
Firefighter (EMT)	F.2	3	47,324	48,744	49,962	49,962
Firefighter (EMT)	F.2	4	53,239	54,836	56,207	56,207
Firefighter (EMT)	F.2	5	59,155	60,930	62,453	62,453
Firefighter (Paramedic)	F.3	1	39,709	40,900	41,923	41,923
Firefighter (Paramedic)	F.3	2	42,765	44,048	45,149	45,149
Firefighter (Paramedic)	F.3	3	48,876	50,342	51,601	51,601
Firefighter (Paramedic)	F.3	4	<b>54,</b> 985	56,635	58,050	58,050
Firefighter (Paramedic)	F.3	5	61,093	62,926	64,499	64,499
Lieutenant	F.4		65,676	67,646	69,337	69,337
Lieutenant (EMT)	F.4		66,966	68,975	70,699	70,699
Lieutenant (Paramedic)	F.4		68,905	70,972	72,746	72,746
Captain	F.5		70,014	72,114	73,917	73,917
Captain (EMT)	F.5		71,306	73,445	75,281	75,281
Captain (Paramedic)	F.5		73,241	75,438	77,324	77,324
Battalion Chief	F.6		77,716	80,048	82,049	82,049
Battalion Chief (EMT)	F.6		79,008	81,379	83,413	83,413
Battalion Chief (Paramedic)	F.6		80,943	83,372	85,456	85,456

PROFESSIONAL FIREFIGHTERS & BATTALION CHIEFS SALARY TABLE 2011-26						
RANK	GRADE	STEP	01/01/2015	12/10/2016	01/01/2017	07/01/2017
Firefighter	F.1	1	39,707	40,501	40,906	41,111
Firefighter	F.1	2	42,761	43,616	44,052	44,272
Firefighter	F.1	3	48,869	49,846	50,344	50,596
Firefighter	F.1	4	54,979	56,079	56,640	56,923
Firefighter	F.1	5	61,091	62,313	62,936	63,251
Firefighter (EMT)	F.2	1	40,595	41,407	41,821	42,030
Firefighter (EMT)	F.2	2	43,718	44,592	45,038	45,263
Firefighter (EMT)	F.2	3	49,962	50,961	51,471	51,728
Firefighter (EMT)	F.2	4	56,207	57,331	57,904	58,194
Firefighter (EMT)	F.2	5	62,453	63,702	64,339	64,661
Firefighter (Paramedic)	F.3	1	41,923	42,761	43,189	43,405
Firefighter (Paramedic)	F.3	2	45,149	46,052	46,513	46,746
Firefighter (Paramedic)	F.3	3	51,601	52,633	53,159	53,425
Firefighter (Paramedic)	F.3	4	58,050	59,211	59,803	60,102
Firefighter (Paramedic)	F.3	5	64,499	65,789	66,447	66,779
Lieutenant	F.4	•	69,337	70,724	71,431	71,788
Lieutenant (EMT)	F.4		70,699	72,113	72,834	73,198
Lieutenant (Paramedic)	F.4		72,746	74,201	74,943	75,318
Captain	F.5		73,917	75,395	76,149	76,530
Captain (EMT)	F,5		75,281	76,787	77,555	77,943
Captain (Paramedic)	F.5		77,324	78,870	79,659	80,057
Battalion Chief	F.6	<u></u>	82,049	83,690	84,527	84,949
Battalion Chief (EMT)	F.6		83,413	85,081	85,932	86,362
Battalion Chief (Paramedic)	F.6		85,456	87,165	88,037	88,477

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PROFESSIONAL FIREFIGHTERS & BATTALION CHIEFS SALARY TABLE 2011-26						
RANK	GRADE	STEP	08/14/2018	01/01/2019	01/01/2020	01/01/2021
Firefighter	F.1	1	41,522	41,937	42,776	43,632
Firefighter	F.1	2	44,715	45,162	46,065	46,986
Firefighter	F.1	3	51,102	51,613	52,645	53,698
Firefighter	F.1	4	57,492	58,067	59,228	60,413
Firefighter	F.1	5	63,884	64,523	65,813	67,129
Firefighter (EMT)	F.2		42.450	42 075	43,733	44,608
Firefighter (EMT)	F.2	<u>1</u> 2	42,450 45,716	42,875 46,173	45,735	48,038
Firefighter (EMT)	F.2	3			53,822	54,898
Firefighter (EMT)	F.2	4	52,245 58,776	52,767 59,364	60,551	61,762
Firefighter (EMT)	F.2	5				68,626
rnenghter (civil)	F.Z	<u> </u>	65,308	65,961	67,280	00,020
Firefighter (Paramedic)	F,3	1	43,839	44,277	45,163	46,066
Firefighter (Paramedic)	F.3	2	47,213	47,685	48,639	49,612
Firefighter (Paramedic)	F.3	3	53,959	54,499	55,589	56,701
Firefighter (Paramedic)	F,3	4	60,703	61,310	62,536	63,787
Firefighter (Paramedic)	F.3	5	67,447	68,121	69,483	70,873
Lieutenant	F.4		72,506	73,231	74,696	76,190
Lieutenant (EMT)	F.4		73,930	74,669	76,162	77,685
Lieutenant (Paramedic)	F.4		76,071	76,832	78,369	79,936
			,			
Captain	F.5		77,295	78,068	79,629	81,222
Captain (EMT)	F.5		78,722	79,509	81,099	82,721
Captain (Paramedic)	F.5		80,858	81,667	83,300	84,966
Battalion Chief	F.6		85,799	86,657	88,390	90,158
Battalion Chief (EMT)	F.6		87,226	88,098	89,860	91,657
Battalion Chief (Paramedic)	F.6		89,362	90,256	92,061	93,902

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PROFESSIONAL FIREFIGHTERS & BATTALION CHIEFS SALARY TABLE 2011-26						
RANK	GRADE	STEP	01/01/2022	01/01/2023	01/01/2024	01/01/2025
Firefighter	F.1	1	44,505	46,130	47,399	48,821
Firefighter	F.1	2	47,926	49,637	51,002	52,532
Firefighter	F.1	3	54,772	56,654	58,212	59,958
Firefighter	F.1	4	61,621	63,674	65,425	67,388
Firefighter	F.1	5	68,472	70,696	72,640	74,819
Firefighter (EMT)	F.2	1	45,500	47,150	49,605	51,093
Firefighter (EMT)	F.2	2	48,999	50,736	53,290	54,889
Firefighter (EMT)	F.2	3	55,996	57,908	60,659	62,479
Firefighter (EMT)	F.2	4	62,997	65,084	68,032	70,073
Firefighter (EMT)	F.2	5	69,999	72,261	75,407	77,669
Firefighter (Paramedic)	F.3	1	46,987	48,674	52,330	53,900
Firefighter (Paramedic)	F.3	2	50,604	52,382	56,140	57,824
Firefighter (Paramedic)	F.3	3	57,835	59,793	63,754	65,667
Firefighter (Paramedic)	F.3	4	65,063	67,202	71,367	73,508
Firefighter (Paramedic)	F.3	5	72,290	74,610	78,979	81,348
Lieutenant				00 4 50		
Lieutenant (EMT)	F.4		77,714	80,169	82,374	84,845
	F.4		79,239	81,732	85,138	87,692
Lieutenant (Paramedic)	F.4		81,535	84,086	88,715	91,376
Captain	F,5		82,846	85,430	87,779	90,412
Captain (EMT)	F.5		84,375	86,997	90,548	93,264
Captain (Paramedic)	F.5		86,665	89,344	94,118	96,942
Battalion Chief	F.6		91,961	94,773	97,379	100,300
Battalion Chief (EMT)	F.6		93,490	96,340	100,148	103,152
Battalion Chief (Paramedic)	F.6		95,780	98,687	103,718	106,830

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PROFESSIONA	L FIREFIGH	ITERS &	BATTALION CH	HEFS SALARY TABLE 2011-26
RANK	GRADE	STEP	01/01/2026	
Firefighter	F.1	1	50,286	
Firefighter	F.1 ·	2	54,108	
Firefighter	F,1	3	61,757	
Firefighter	F.1	4	69,410	
Firefighter	F.1	5	77,064	
Fin-fi-1-1 (FA 0T)			F2 C2C	
Firefighter (EMT)	F.2		52,626	
Firefighter (EMT)	F.2	2	56,536	
Firefighter (EMT)	F.2	3	64,353	
Firefighter (EMT)	F.2	4	72,175	
Firefighter (EMT)	F.2	5	79,999	
Firefighter (Paramedic)	F.3	1	55,517	
Firefighter (Paramedic)	F.3	2	59,559	
Firefighter (Paramedic)	F.3	3	67,637	
Firefighter (Paramedic)	F.3	4	75,713	
Firefighter (Paramedic)	F.3	5	83,788	
Lieutenant	F.4		87,390	
Lieutenant (EMT)	F.4		90,323	
Lieutenant (Paramedic)	F.4		94,117	
Captain	F.5		93,124	
Captain (EMT)	F.5		96,062	
Captain (Paramedic)	F.5		99,850	
Battalion Chief	F.6		103,309	
Battalion Chief (EMT)	F.6		106,247	
Battalion Chief (Paramedic)	F.6		110,035	

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#### APPENDIX "B"

NEW YORK STATE MINIMUM BENEFIT LEVELS FOR SUBSTANCE ABUSE Inpatient Detox: Paid in full for up to 7 days

Inpatient rehabilitation: Paid in full for up to 30 days per calendar year

Outpatient:

Paid in full for up to 60 visits per calendar year, of which 20 may be used for family counseling

NEW YORK STATE MINIMUM BENEFIT LEVELS FOR PSYCHIATRIC

Inpatient:

Paid in full for up to 30 days per year

Outpatient:

30 visits per year with maximum of no less than \$1,500; three psychiatric emergency visits per year at no less than \$60 per visit: which reduces benefits otherwise payable for other inpatient and out-patient care

#### APPENDIX "C"

Employer: City of Albany Fire Department 26 Broad Street Albany, New York 12202

> Corporation Counsel City Hall Albany, New York 12207

Thomas J. Jordan, Esq.
Pine West Plaza, Building 4, Suite 409
Washington Ave Extension
Albany, New York 12205

Albany Permanent Professional Firefighters Association, Local 2007 39 Quail Street Albany, New York 12206

### APPENDIX "D"

General Municipal Law Section 207-a Procedure

Section 1

This policy is intended to provide a procedure to regulate both the application for, and the award of, benefits under section 207-a of the General Municipal Law (hereafter referred to as "GML 207-a"). This policy is not intended to limit or eliminate any additional requirements or benefits regarding GML 207-a set forth in the statute or case law.

Section 2

Notice of Disability or Need for Medical Treatment. firefighter who alleges an injury in the performance of duty or who alleges he/she was taken sick as a result of the performance of duty and who seeks any benefit afforded by GML 207-a shall file a written incident report, on forms provided for this purpose, with the Chief within forty-eight (48) hours of either (1) an incident causing an injury or sickness so as to prevent performance of duty or (2) an incident causing such an injury or sickness which gives rise to the need for medical or hospital care; provided, however, that a firefighter taken sick allegedly as the result of the performance of duty shall provide such written incident report as soon as he or she concludes that the sickness is so caused, but in no event later than thirty (30) days from the time such sickness should have been discovered to have been the result of the performance of duty. In the event of an inability to do so, such written incident report may be made by another acting on behalf of the firefighter. written incident report shall contain the following information: the time, date, and place of the incident; a detailed statement of the facts surrounding the incident; the nature and extent of the firefighter's injury or sickness; the names of any possible witnesses to the incident; and statements from the firefighter's treating physician(s). The firefighter will be provided with a copy of the incident report stamped with the date of receipt. The failure to satisfy the time limits of this section does not automatically render a filing untimely and preclude an award of any benefits pursuant to Section 207-a; however, it shall raise a rebuttable presumption that the firefighter was not injured in the performance of duty or taken sick as the result of the performance of duty.

### Section 3

An application for Section 207-a benefits must be filed as soon as practicable. The application must be made by either the firefighter seeking benefits or, in his or her inability to do so, by another acting on his or her behalf. The application shall be made in writing, using an official application form, and must include the following information: the time, date, and place of the incident; a detailed statement of the facts surrounding the incident; the nature and extent of the firefighter's injury or sickness; the names of any possible witnesses to the incident; and the names and addresses of all treating physicians. The applicant will be provided with a copy of the application stamped with the date of receipt.

### Section 4

An application shall be deemed "untimely" unless it is received by the Chief within sixty (60) days of the date of the injury or sickness upon which the application is based or within sixty (60) days after the member discovers, or should have discovered, the injury, reinjury or sickness upon which the application is based. The Chief may, in his discretion, excuse the failure to file the application within the sixty-day period upon showing of good cause.

#### Section 5

The application must be made in writing on the form attached to this procedure and, where appropriate, will include a statement from the applicant's treating physician.

### Section 6

After the filing of said application, the City shall have the right to require the applicant to submit to one or more medical or other appropriate examinations as provided by law and/or this procedure.

#### Section 7

The Chief shall have exclusive authority to initially determine the applicant's eligibility for benefits under GML 207-a. The Chief or his designee shall have the authority to conduct a full investigation of the facts concerning the application including, but not limited to, employing medical specialists and other appropriate individuals, at reasonable

times on reasonable notice require the attendance of the firefighter or other Department witnesses to secure information, and requiring the firefighter to execute a release for information pertaining to his relevant medical history.

#### Section 8

Pending the determination of an application, time off taken by the applicant after submission of said application and alleged to be attributed to the injury or sickness which gave rise to the claim for GML 207-a benefits shall be charged to sick time.

### Section 9

The Chief shall render a written decision on the application for benefits as soon as practicable but in no event later than fifteen (15) days after the receipt of all necessary information as indicated in Section 7 above. In the event that a written decision is not issued within fifteen (15) days of the receipt of all necessary information, the applicant will be temporarily placed on 207-a leave pending receipt of the written decision. A copy of the decision shall be mailed to the applicant at the address specified in the application.

In the event the determination of the Chief is to deny the GML 207-a benefit, the determination must include the reasons for the denial, together with any documentation supporting the denial.

#### Section 10

If the decision is that the applicant is eligible for GML 207-a benefits, then the applicant shall be so categorized and pursuant thereto any time taken off due to such injury or sickness shall be charged to GML 207-a leave. The member's GML 207-a benefits shall continue so long as the member remains eligible.

#### Section 11

If the decision of the Chief in that the applicant is not eligible for GML 207-a benefits, then at any time within thirty (30) days from receipt of such decision, the applicant may serve a written demand upon the Mayor or his designated representative for further evaluation of the application. The demand shall

contain a statement of the reasons why the applicant believes further evaluation of the application is needed.

#### Section 12

Upon receipt of a timely written demand for further evaluation of a GML 207-a claim, the Mayor or his designee shall the Chief all information provided in from application and pursuant to Section 7 of this procedure. applicant may submit additional written information concerning his GML 207-a claim to the Mayor or his designee. The Mayor or designee may require the production of additional information or documentation as specified in Section 7, not already provided, concerning the claim and/or may conduct an informal conference with the applicant. The Mayor or his designee shall render a written decision on the GML 207-a claim no later than fifteen (15) days after the receipt of all necessary information required pursuant to this Section 12 not to exceed thirty (30) days after the written demand was filed.

#### Section 13

In the event the applicant is not satisfied with the decision at the Mayor level and wishes to appeal the decision, the applicant shall file within thirty days of the Mayors decision a written demand for arbitration of his GML 207-a claim. The claim shall be submitted to binding arbitration pursuant to the Voluntary Grievance Arbitration Rules of the New York State Public Employment Relations Board (Part 207 of the PERB Rules).

The parties to the arbitration shall be the City and the member involved. All costs billed by the arbitrator and the administrative agency shall be borne equally by the City and the member. All other costs shall be paid by the party incurring such costs, i.e. witnesses, exhibits, transcripts, etc.

### Section 14

The Arbitrator shall have the authority to decide, de novo, the claim of entitlement to GML 207-a benefits. The Arbitrator shall have the authority to consider and decide all allegations and defenses made in regard to the GML 207-a claim, including but not limited to assertions regarding the timeliness of the GML 207-a claim. In the event of a dispute between the parties as to the nature of the proceeding, the Arbitrator shall first decide whether the proceeding presents an issue of an

applicant's initial entitlement to GML 207-a benefits or whether the proceeding presents an issue of termination of GML 207-a benefits. The burden of proceeding with evidence as to the nature of the issue(s) presented shall be on the member. event the Arbitrator decides that the matter presents an initial GML 207-a claim, the member shall have the burden of proof by preponderance of the evidence that he is entitled to receive the benefits set forth in GML 207-a with respect to an injury alleged to have occurred in the performance of his duties or to sickness resulting from the performance of duties which necessitated medical or other lawful remedial treatment. the Arbitrator decides the matter presents termination of GML 207-a benefits, the Fire Department shall have the burden of proof by a preponderance of the evidence that the member is no longer eligible for GML 207-a benefits.

The Arbitrator shall have no right to amend, modify, nullify, ignore, add to, or subtract from the provisions of this procedure. The Arbitrator shall have no authority to make a decision on any issue not submitted by the parties.

The decision and award of the Arbitrator shall be final and binding on all the parties.

#### REVIEW OF DISABILITY

### Section 15

- (a) The Chief may periodically review cases of members receiving GML 207-a benefits for the purpose of determining whether the individual continues to be entitled to GML 207-a benefits, and in furtherance thereof may take such action as is appropriate under the law and/or this procedure. The City has the right to require the member to submit to one or more medical or other appropriate examinations and will have the right to require the production of a medical release for all records that bear the member's claim for benefits.
- (b) Any individual who is receiving benefits under GML 207-a continues to be subject to the provisions set forth in the Department's Book of Rules and in departmental orders concerning notification to the Fire Department of the member's condition.

#### Section 16

Upon receipt of a certification from the Fire Department Surgeon, or a physician-designee, that a member is able to perform the duties of his or her position, the Chief shall notify the member of the intent to terminate the GML 207-a benefit. The Chief shall cause service of a written notice of termination setting forth the effective date thereof and a copy of the physician certification to be made to the member.

If the member disputes the return to work order, he or she will have seven (7) calendar days from the receipt of the order to submit written documentation from his or her doctor disputing his or her fitness for duty.

If the City's physician and member's doctor disagree with regard to a return to duty determination, the parties (and/or their legal representatives) will select a mutually agreeable third doctor who will make a final and binding determination for the parties.

#### Section 17

If the member disagrees with the termination of the GML 207-a benefits, he may serve upon the Mayor or his designated representative, within thirty (30) days after the receipt of the Chief's notice, a written appeal for review of the determination, specifying the basis for the demand.

#### Section 18

Upon receipt of a timely written appeal of the Chief's decision to terminate GML 207-a benefits, the Mayor or his designee shall obtain from the Chief all information considered in connection with the review of the member's GML 207-a status. The member may submit additional documents concerning his GML 207-a status to the Mayor or his designee. The mayor or his designee may require the production of additional information concerning the member's GML 207-a status as set forth in Section 7 of this procedure and/or may conduct an information conference with the member. The mayor or his designee shall render a written decision on the appeal of the decision to terminate GML 207-a benefits no later than fifteen (15) days after the receipt of the information required pursuant to this Section 18.

#### Section 19

In the event the applicant is not satisfied with the decision at the Mayor level and wishes to appeal, the member shall file within thirty days of the Mayors decision a written demand for arbitration of his termination of GML 207-a benefits and status. The claim if timely filed shall be submitted to binding arbitration pursuant to the Voluntary Grievance Arbitration Rules of the New York State Public Employment Relations Board (Part 207 of the PERB Rules).

The parties to the arbitration shall be the City and the member involved. All costs billed by the arbitrator and the administrative agency shall be borne equally by the City and the member. All other costs shall be paid by the party incurring such costs, i.e. witnesses, exhibits, transcripts, etc.

#### Section 20

The Arbitrator shall have the authority to decide, de novo, the claim of continued entitlement to GML 207-a benefits. Arbitrator shall have the authority to consider and decide all allegations and defenses made in regard to the GML 207-a claim, including but not limited to assertions regarding the timeliness of the GML 207-a claim. In the event of a dispute between the parties as to the nature of the proceeding, the Arbitrator shall first decide whether the proceeding presents an issue of applicant's initial entitlement to GML 207-a benefits or whether the proceeding presents an issue of termination of GML 207-a The burden of proceeding with evidence as to the nature of the issue(s) presented shall be on the member. event the Arbitrator decides that the matter presents an initial GML 207-a claim, the member shall have the burden of proof by preponderance of the evidence that he is entitled to receive the benefits set forth in GML 207-a with respect to an injury alleged to have occurred in the performance of his duties which necessitated medical or other lawful remedial treatment. Arbitrator decides the matter presents termination of GML 207-a benefits, the Fire Department shall have the burden of proof by a preponderance of the evidence that the member is no longer eligible for GML 207-a benefits.

The Arbitrator shall have no right to amend, modify, nullify, ignore, add to, or subtract from the provisions of this procedure. The Arbitrator shall have no authority to make a decision on any issue not submitted by the parties.

The decision and award of the Arbitrator shall be final and binding on all the parties.

#### Section 21

In the event that any article, section or portion of this procedure is found to be invalid by a decision of a tribunal of competent jurisdiction, then such specific article, section or portion shall be of no force and effect, but the remainder of this procedure shall continue in full force and effect. Upon the issuance of a decision invalidating any article, section or portion of this procedure, either party shall have the right immediately to reopen negotiations with respect to a substitute for such invalidated article, section or portion of this provision.

#### Section 22

An applicant hereunder may have a representative of his choosing at any stage of this procedure.

#### Section 23

Once an applicant has been determined to be eligible to receive GML 207-a benefits, those benefits will continue pending final determination of an Arbitrator or until the applicant abandons the process.

#### Section 24

This procedure shall take effect on the effective date of this agreement and shall apply to any claim of entitlement to or use of GML 207-a benefits made after that date. In the event utilization of GML 207-a benefits after said date is based on an injury in the performance of duty or a sickness as the result of the performance of duty which allegedly occurred prior to January 1, 1998, the member shall comply with the terms of Section 4 of this procedure within sixty (60) days after the member is aware or should have been aware of the need to utilize GML 207-a benefits based on such prior injury or illness. Upon the filing of the Section 4 form, the claim for utilization of GML 207-a based on an injury or illness shall be decided in accordance with the terms of this procedure.

#### SAMPLE COPY

#### CITY OF ALBANY

#### DEPARTMENT OF FIRE AND EMERGENCY SERVICES

# APPLICATION FOR GML 207-A DISABILITY BENEFITS

Name of Applicant:

Date:

Name of Party Submitting Application:

Date:

I HEREBY APPLY FOR BENEFITS UNDER SECTION 207-A OF THE GENERAL MUNICIPAL LAW BASED ON THE FOLLOWING;

A) Injury Sustained in the Performance of Duty

(In the space provided or on additional sheets if necessary, set forth to the best of your ability information about the injury including date, time and place where the injury occurred; a detailed description of the nature and extent of the injury; a detailed statement of the facts surrounding the incident; list the name and addresses of medical care providers (including hospitals) who may have treated you to date, including the name and rank of other members who may have witnessed the incident. Attach any available documents with information relevant to the injury.)

#### B) Sickness as a result of the Performance of Duty

(In the space provided or on additional sheets if necessary, set forth to the best of your ability information about the sickness including date, time and place where the sickness in performance of duty occurred; a detailed description of the nature and extent of the sickness; a detailed statement of the facts surrounding the incident; list the name and addresses of medical care providers (including hospitals) who may have treated you to date. Attach any available documents with information relevant to the sickness.

I SUBMIT THIS APPLICATION PURSUANT TO THE POLICY AND PROCEDURE GOVERNING THE APPLICATION FOR AND AWARD OF BENEFITS UNDER SECTION 207-A OF THE GENERAL MUNICIPAL LAW. THE STATEMENTS CONTAINED IN THIS APPLICATION ARE, TO THE BEST OF MY KNOWLEDGE, ACCURATE AND TRUE.

(Signature of Applicant)	(Date)

The decision on my applicati following address:	on should	be	mailed	to	me	at	the
and to my representative:			·				
Application received by:							
(Signature of Person authorized to Receive			(Date)				



#### OCA Official Form No.: 960 AUTHORIZATION FOR RELEASE OF HEALTH INFORMATION PURSUANT TO HIPAA

		HIPAA
Patient Name	Date of Birth	Social Security Number
Patient Address		
I, or my authorized representative, request that I forth on this form:  In accordance with New York State Law and Accountability Act of 1996 (HIPAA), I understated. This authorization may include disclosure MENTAL HEALTH TREATMENT, except INFORMATION only if I place my initials information described below includes any of the 9(a), I specifically authorize release of such information, the recipient is prohibited from permitted to do so under federal or state law. I understand that I may revoke this authorization anderstand that I may revoke this authorization enuthorization.  I understand that signing this authorization is eligibility for benefits will not be conditioned up information disclosed under this authorization.	the Privacy Rule of the Health In and that: of information relating to ALC psychotherapy notes, and CONFI on the appropriate line in Item less types of information, and I internation to the person(s) indicated in related, alcohol or drug treatment redisclosing such information with understand that I have the right to reput authorization. If see or disclosure of HIV-related infor 480-2493 or the New York City Coffor protecting my rights, at any time by writing to the healt except to the extent that action has a voluntary. My treatment, payment, on my authorization of this disclosure.	OHOL and DRUG ABUSE, DENTIAL HIV* RELATED 9(a). In the event the health tial the line on the box in Item 1 Item 8.  It, or mental health treatment thout my authorization unless equest a list of people who may remation, I may contact the New ommission of Human Rights at the care provider listed below. I lready been taken based on this enrollment in a health plan, or are.
tem 2), and this redisclosure may no longer be p 5. THIS AUTHORIZATION DOES NO INFORMATION OR MEDICAL CARE V	orotected by federal or state law.  TO AUTHORIZE YOU TO WITH ANYONE OTHER THAT	DISCUSS MY HEALTH
7. Name and address of health provider or en	tity to release this information:	
8. Name and address of person(s) or category	of person to whom this information	n will be sent:
9(a). Specific information to be released:  ☐ Medical Record from (insert date)	to (insert date)	
□ Entire Medical Record, including patient 1	histories, (except psychotherapy note	es), test results, radiology

## office notes referrals, consults, billing records, studies, films, sent to you by other health care providers. insurance records, and records Include: (Indicate by Initialing) Alcohol/Drug Mental Health Information **HIV-Related Information** Authorization to Discuss Health Information (b) D By initialing here Name of individual health care Initials provider to discuss my health information with my attorney, or a governmental agency, listed here: (Attorney/Firm Name or Governmental Agency Name) 11. Date or event on which this authorization will expire: 10. Reason for release of information: ☐ At request of individual ☐ Other:

12. If not the patient, name of person signing form:

13. Authority to sign on behalf of patient:

All items on this form have been completed and my questions about this form have been answered. In addition, I have been provided a copy of the form.

Date:

Signature of patient or representative authorized by law.

<sup>\*</sup> Human Immunodeficiency Virus that causes AIDS. The New York State Public Health Law protects information which reasonably could identify someone as having HIV symptoms or infection and information regarding a person's contacts.

# Instructions for the Use of the HIPAA-compliant Authorization Form to Release Health Information Needed for Litigation

This form is the product of a collaborative process between the New York State Office of Court Administration, representatives of the medical provider community in New York, and the bench and bar, designed to produce a standard official form that complies with the privacy requirements of the federal Health Insurance Portability and Accountability Act ("HIPAA") and its implementing regulations, to be used to authorize the release of health information needed for litigation in New York State courts. It can, however, be used more broadly than this and be used before litigation has been commenced, or whenever counsel would find it useful.

The goal was to produce a standard HIPAA-compliant official form to obviate the current disputes which often take place as to whether health information requests made in the course of litigation meet the requirements of the HIPAA Privacy Rule. It should be noted, though, that the form is optional. This form may be filled out on line and downloaded to be signed by hand, or downloaded and filled out entirely on paper.

When filing out Item 11, which requests the date or event when the authorization will expire, the person filling out the form may designate an event such as "at the conclusion of my court case" or provide a specific date amount of time, such as "3 years from this date".

If a patient seeks to authorize the release of his or her entire medical record, but only from a certain date, the first two boxes in section 9(a) should both be checked, and the relevant date inserted on the first line containing the first box.

# APPENDIX "E" Code Enforcement MOA and SOP

#### MEMORANDUM OF AGREEMENT

- 1. The City of Albany, New York ("City") and the professional firefighters who are employed by the City, and are members of Locals 2007 and/or 2007A ("Union"), which consist of Firefighters, Fire Lieutenants, Fire Captains and Battalion Chiefs are parties to collective bargaining agreements for the term January 1, 2010 to December 31, 2011 together with the Interest Arbitration Award in PERB Case # IA 2013-014; M2012-289, extending the term through December 31, 2013; the terms and conditions are being continued pursuant to Section 209-a.1.(e) of the New York State Civil Service Law.
- 2. The Union filed a grievance regarding certain code enforcement work that had been previously performed by members of the Union but had been re-assigned to civilian code inspectors; in addition, the Union filed an improper practice charge which was deferred pending an arbitrator's decision on the grievance; Arbitrator Paul Doyle (the "Doyle Award") heard the case on the grievance and, among other things, decided in the Union's favor concerning the residential occupancy permit inspections ("ROPS"); the Union moved in Albany County Supreme Court to confirm the arbitration award regarding that part of the arbitrator's decision; the City crossmoved to modify and/or vacate the award; the Albany County Supreme Court (Kimberly A. O'Connor, J.S.C.) confirmed the above identified part of the arbitrator's award; the City appealed the Court's Decision/Order to the Appellate Division-Third Department.

- 3. In addition, on or about October 26 2012, the Union pursued a grievance filed by Firefighter Michael Varno for an alleged violation of the parties' collective bargaining agreement which occurred on October 19, 2013; the grievance in substance alleged that an (Albany) Building Department civilian building inspector, who is not a bargaining unit member, placed an "unsafe/unfit" placard on 546 Myrtle Avenue, Albany, New York; according to the grievance, this work had always been the exclusive work of the bargaining unit members assigned to the Fire Investigation Unit; the grievance requested that the City cease and desist this new assignment for the building inspectors and requested 2 hours oncall (overtime) as compensation; the grievance was pursued through the steps in the grievance procedure; the City denied the grievance as it is the City's position that the placing of the placards is not exclusive to bargaining members but is shared work; a Demand for Arbitration was filed by the Union on December 4th, 2012 (PERB Case No. A242-361).
- 4. On the December 1st, 2012, then Fire Chief Robert C. Forezzi issued Notice # 12-118; that Notice stated in substance that members of the Albany Department of Buildings and Regulatory Compliance will be "automatically dispatched" on all calls "related to code enforcement issues, unstable buildings, building board-ups, building collapses, and other calls related to code issues."; this resulted in the Union filing a grievance on or about January 11, 2013; among other things, the grievance alleged that the above-identified Notice from the Fire Chief mandated that bargaining unit work such as 911 calls concerning no heat, leaky roof, stove not working, etc. from Albany residents would now be dispatched to non-bargaining unit civilian inspectors; the grievance requested that the City cease and desist all such activities that violate the collective bargaining agreement and

the Taylor Law; the Union also filed an improper practice charge (U-32542) regarding the matter which was deferred pending arbitration of the grievance; the grievance is on "hold" and will be deemed settled and withdrawn upon ratification of this Agreement.

- 5. Throughout 2013 and 2014, the Union continued to document through grievances, the alleged contractual and past practice violations caused by the Fire Chief's Notice; by November 6th, 2013, grievances 13-05 through 13-36, 13-46 through 13-73, and 13-75 through 13-90 were filed; from January 6th, 2014, through March 14th, 2014 grievances 14-1 through 14-72 were filed; the Union continued to file until a total of 243 grievances were filed.
- 6. Although both parties raised proposals in Interest-Arbitration concerning code enforcement, both consented to remove these proposals from consideration by the Interest Arbitration Panel and to pursue settlement, retaining the right to have the Panel consider these proposals should settlement efforts fail.
- 7. The parties met on several occasions in 2014 and 2015; the parties reached a tentative agreement on March 11<sup>th</sup>, 2015 on all code issues encompassed herein, which is memorialized in this Memorandum of Agreement.
- 8. In lieu of residential occupancy permit inspections, the line companies will be responsible for:
  - Target hazard preplans, whose purpose is to identify and prepare for hazards associated with all structures in the City of Albany;
  - Vacant building inspections, which will assist in the enforcement of the Vacant Building Code through inspections of said buildings.

- 9. A Labor Management Committee will be created to develop the programs and procedures to implement the items identified in paragraph 8.
- 10. Incorporated into this Agreement is the Standard Operating Procedure (\*SOP\*) which is attached hereto and made a part hereof. The SOP is the basic procedure for requesting members of the Fire Prevention and Investigation Unit (\*FIU\*) to respond to an emergency scene. The SOP lists when FIU members should be called and when it is not necessary to have a FIU member respond. The effective date for the SOP is upon ratification of this Agreement by the parties.
- outstanding individual grievances filed upon the City; the City will pay a maximum of \$50.00 per grievance; payment will be made within twenty (20) work days after the ratification of this Agreement and any disputes in this regard will be subject to the parties' contractual grievance procedure with the option that the grievance may be submitted directly to arbitration.
  - 12. The SOP and any other terms mutually agreed upon will be incorporated into the parties' collective bargaining agreements (Locals 2007, 2007-A) and will replace existing language in Article 38 of the parties' collective bargaining agreement. Until such time, any violations of this Memorandum of Agreement, once it is ratified by both parties' legislative bodies, will be subject to the parties' contractual grievance procedure.
  - 13. The pending appeal of the Albany County Supreme Court decision confirming the Doyle arbitration award will be withdrawn by the City within thirty (30) calendar days of ratification of this agreement by both sides and the underlying dispute ruled on by Arbitrator Doyle is settled by this Agreement.

- 14. This Memorandum of Agreement is subject to and will not be effective until both parties" legislative bodies ratify, by majority vote, said agreement.
- 15. All other issues, grievances, or other legal claims or concerning incidents that occurred prior to this Memorandum of Agreement being ratified are bereby waived and/or considered settled by this agreement so far as this waiver is consistent with the collective bargaining agreement and existing law.

Dat od: 5-72-15

Dates: 5/22/15

CITY OF NAMY

Warren W. Abriel Jr.

Mother Powers, President APPYA Locals 2007 and 2007A

Attachment: BOP - Fire Prevention & Investigation Unit

# City of Albany Department of Fire and Emergency Services Standard Operating Guidelines

WIDEX AS: Response Procedures	REFERENCE: RP xx.xx
SUBJECT: Request for FPH1	EFFECTIVE DATE: 03/11/2015

#### Purpose:

To establish procedures for requesting personnel from the Fire Prevention & Investigation Unit (FPIU). The Albany Fire Department will respond to calls related to issues related to fire investigations and will be responsible for mitigating any emergency situations. The Company Officer shall notify the on duty Bartalion Chief when he/she feels that the situation meets the following criteria. The Battalion Chief shall verify with Communications the response and estimated time of arrival of the on call investigator.

AFD field units will maintain control of the scene until the investigator arrives and information has been clearly exchanged. The investigator will be responsible for filing the appropriate reports the next business day. This will include the appropriate computer entries into the NIFRS system.

In the case of a hazardous situation such as a structural collapse. The IC will notify the Battation Chief who will respond to the scene and will request the response of a Deputy Chief if necessary. The IC will remain on scene until relieved by the responding chief.

#### FPIU Response:

The iC shall request the response of a member from FPIU to:

- · uny lire or explosion involving any structure, vehicle, or victim(s),
- suspicious fires (including, but not limited to vehicle, dumpster, and trash fires),
- suspected arson.
- · Juvenile fire setters or fire play.

In addition FPIU shall be requested to respond to all incidents involving fire safety issues. Fire safety issues include, but not limited to:

 lire alarm systems that will not reset, taken off line, taken out of service, or malfunction.

- The suppression systems that are damaged, malfunction, taken off line, or taken out of service
- · posting of the watches,
- removal, tempering, silencing, or resetting fire alarm / suppression systems prior to AFD arrival.
- all other fire safety code issues including, but not limited to, tocked or blocked exits in an occupied structure, exceeding maximum posted occupancies, or ignitable material stored too close to an ignition source.
- collapse of an occupied structure or an unstable structure impinging on an occupied structure,
- · water or other liquid in electrical systems in an occupied structure,
- heating an occupied space with a non-approved heating source (ie. stove top or oven).
- · Ilammable gas leak in an occupied structure,
- occupied structures with confirmed high Carbon Monoxide readings,
- · overcrowding or illegal house parties,
- » adult / child protective issues.
- · hoarding issues

The Fire Prevention and Investigation Unit will not respond, unless directed by the Chief or his designee, to the following incidents:

- · Sewage in basements
- · Bug infestations
- Issues that are related to building code violations, not involving fire safety issues
- · No heat calls
- No water calls
- · Non fire related code complaint calls

If there are any questions, the on scene officer in charge should contact the Battalion Chief as in the past.

The Fire Prevention and Investigation Unit is responsible, not the line companies, to ensure proper documentation, contact of appropriate personnel and agencies, proper follow-ups, and ensure required corrections of issues of all fire safety and fire prevention issues.

FIRE PREVENTION & INVESTIGATION DUTIES

In addition to the above duties of investigating fires and presenting fire prevention programs, the Unit will also be responsible for the following:

# PRE-PLANS

Unit members will coordinate the development of pre-plans to target leazards within the City. Members will assist the line companies with the preparation of diese pre-plans. Locations will be selected by Headquarters and the Battalion Chief's,

# ROP and MRL INSPECTIONS

During normal business hours unit members will meet up with Code Enforcement Officers from the Buildings and Codes Office. Our members will assist the Codes inspectors as they inspect buildings throughout the City for violations.

The purpose of these joint inspections is to impart "fire safety" knowledge to the Codes inspector. It should be a training exercise of what is important to the Fire Service when doing a building inspection.

# VACANT BUILDING MARKING

Unit members will coordinate the marking of all vacant buildings. Together with the line companies they will designate any vacant building, determine the severity of any damage and mark it with the appropriate symbol.

Information gathered through the above activities will be compiled and entered into the CAD system.

APPENDIX "F"
Opinion and Award
PERB Case No.: IA2013-014; M2012-289
(Campagna Award, dated April 2015)

# STATE OF NEW YORK PUBLIC EMPLOYEMENT RELATIONS BOARD

In the Matter of Compulsory Interest Arbitration Between:

ALBANY PERMANENT PROFESSIONAL FIREFIGHTERS ASSOCIATIONS, LOCAL 2007, LOCAL 2007-A, I.A.F.F., AFL-CIO

PERB Case No. IA2013-014 M2012-289

-And-

THE CITY OF ALBANY, NEW YORK

#### OPINION & AWARD

For the Period of January 1, 2012 through December 31, 2013

#### BEFORE:

Dennis J. Campagna, Esq.
Public Panel Member & Chairman

James W. Roemer, Jr., Esq.
Public Employer Panel Member

John M. Crotty, Esq. Employee Organization Panel Member

#### **APPEARANCES**

A. For the Employer City of Albany:

Roemer Wallens Gold & Mineaux, LLP Elayne G. Gold, Esq.

B. For IAFF Locals 2007 & 2007-A

Law offices of Thomas J. Jordan, Esq. Thomas J. Jordan, Esq.

#### BACKGROUND

Pursuant to the provisions outlined in Section 209.4 of the Civil Service Law, the undersigned Panel was designated by the Chairperson of the New York State Public Employment Relations Board ("PERB") to make a just and reasonable determination of a dispute between the City of Albany, New York, ("City") and the Albany Permanent Professional Firefighters' Association, Locals 2007, representing Firefighters, Lieutenants and Captains, and Local 2007-A, representing Battalion Chiefs, of the International Association of Firefighters. (Collectively "Association").

The City is New York State's Capital City and was chartered in 1686 making it the second oldest City in the United States. It is the largest municipality in the Capital District, covers approximately 40 square miles, and enjoys a diverse population of approximately 98,000. The daytime population of Albany is estimated at approximately 160,000 during regular workdays.

Albany sits on the Hudson River, which runs from the north to the south, to New York City. The Port of Albany which sits on the Hudson River is a major trade port. Several major interstate roads run through the City, the I-90 and I-87 and the City is contiguous to the Albany International Airport located in the Town of Colonie.

The City's Fire Department operates on a 24/7 basis and consists of approximately 241 sworn members as follows: Chief (1), Executive Deputy Chief (Currently Unfilled), Deputy Chief (3), Battalion Chiefs (3), Captains (18), Lieutenants (49) and Firefighters (164). Job descriptions for each position is in evidence as Union Exhibit 4.

Firefighters work 24-hour shifts, meaning that they work 24 consecutive hours and are then off duty for 72 consecutive hours before reporting for their next 24-hour shift. The Department consists of four (4) platoons: A, B, C and D. The record reflects that approximately 70-80% of the Department's calls are for emergency medical services, followed by traditional type fire suppression calls followed by a small percentage of calls dealing with water rescue, HAZMAT and confined space calls. (See TR 1-58)

The last collective bargaining agreement between the City and the Association covered the period January 1, 2010 through December 31, 2011. Subsequently, the parties commenced negotiations toward a successor agreement in June 2012 without success. As a result, impasse was declared and a Mediator appointed by PERB that was likewise unable to achieve closure. Accordingly, on or about May 20, 2013, the Association filed a Petition for Compulsory Interest Arbitration to which the City responded on or about June 3, 2013. Pursuant to the procedures set forth in Section 209, the Undersigned was appointed as the Chairperson of the Panel convened to resolve the instant impasse. John M. Crotty, Esq. was designated as the Association's Representative on the Panel, and the City designated James W. Roemer, Jr., Esq. as its Panel representative. Subsequently, the instant Arbitration hearing occurred over the consecutive two day period of May 28th and 29th, 2014. A stenographic record was taken at the hearings pursuant to N.Y. Civ. Serv. Law § 209.5, which record shall serve as the official record. Each witness was sworn, and the parties submitted numerous exhibits and documentation. At the conclusion of the hearings, both parties hereto elected to summarize their positions with a written brief, filed electronically with the Panel on or about August 15, 2014. Thereinafter, the Panel fully reviewed all data, evidence, arguments and issues submitted by the Parties. Following meaningful discussion and deliberations at multiple executive sessions, the Panel reached consensus on the terms of a two-year Award covering the two year period of January 1, 2012 through December 31, 2013.

The positions initially taken by the Parties are extensively set forth in their respective petition and response, testimony, exhibits and post-hearing briefs, all of which are incorporated by reference in this Award. These positions will be summarized for the purpose of this Opinion and Award. Accordingly, set out herein is the majority Panel's Award as to what constitutes a just and reasonable determination of the issues submitted by the Parties for the two-year period of January 1, 2012 through December 31, 2013.

In arriving at such determination, the Panel has specifically reviewed and considered all of the following statutory criteria as set forth in N.Y. Civ. Serv. Law, § 209.4:

- a) Comparison of the wages, hours and conditions of employment of the employees involved in the arbitration proceeding with the wages, hours and conditions of employment of other employees performing similar services or requiring similar skills under similar working conditions and with other employees generally in public and private employment in comparable communities;
- b) The interest and welfare of the public and the financial ability of the public employer to pay;
- c) Comparison of peculiarities in regard to other trades or professions, including specifically, (1) hazards of employment; (2) physical qualifications; (3) educational qualifications; (4) mental qualifications; (5) job training and skills;
- d) The terms of collective agreements negotiated between the parties in the past providing for compensation and fringe benefits, including, but not limited to, the provisions for salary, insurance and retirement benefits, medical and hospitalization benefits, paid time off and job security.

#### THE OUTSTANDING ISSUES FOR RESOLUTION BY THIS PANEL

The current and unresolved issues for resolution by this Panel are summarized as follows:

ISSUE	CITY PROPOSAL	ASSOCIATION PROPOSAL
Duration	2 Years [1/1/12 - 12/31/13]	2 Years [1/1/12 – 12/31/13]
Salary Increases	Freeze each of 2 years	4% per year each of 2 years
Comparables	Cities of Rochester, Syracuse,	Cities of Rochester, Syracuse, Utica,
	Utica, Schenectady & Troy	Schenectady & Troy
Health Insurance Plans	Change in employee	Status Quo
	contribution [10% (1) & 25%	
	(F) New Hires only] and	
•	update language to mirror	
1	Police Agreement with the	
	City with respect to Plans.	
	Change Rx to a three-tier plan	_
	similar to other bargaining	
	units. [City Proposals 6 & 6A]	
Retiree Health	Status Quo (Leave as a City	Association Proposal 23 – Memorialize
Insurance	Policy not in the CBA)	the current City Policy in the CBA.
EMT/Para Stipends	Status Quo	Association Proposal 28 – Increase
		EMT & Para Stipends by \$500.

TOOTER		· · · · · ·
ISSUE	CITY PROPOSAL	ASSOCIATION PROPOSAL
Paramedic Rig	Status Quo	Association Proposal 9 - \$1.00 per hour
Incentive		increase
Paramedic Longevity	Status Quo	Association Proposal 11 - New section
		granting longevity stipend for all Paras
		per para years of service.
Rescue Squad Stipend	Status Quo	Association Proposal 22 – [New]
		Amend Articles 40 & 42 to reflect
		\$1500 stipend for membership on squad
Rescue Squad Selection	Status Quo	Association Proposal 24 – Amend
by Strict Seniority		Articles 40 & 42 to reflect.
Promotion in Rank for	Status Quo	Association Proposal 35 – Amend
Rescue Squad		Article 30.7 – new language prohibiting
		adverse employment action re: bidding
		for those on Rescue Squad
Eliminate Top Grade	Status Quo	Association Proposal 37 – Amend
Requirement for Rescue		Articles 40 & 42 to eliminate top grade
Squad Members		requirement and change to 5 years of
		service.
Inverse Incentive	Status Quo .	Association Proposal 32 - Daily stipend
· · · · · · · · · · · · · · · · · · ·		of \$1/hour if inversed out of bid position
Tactical Medical Clause	Status Quo	Association Proposal 12 – New
•	1.	language regarding safety equipment,
•		training and compensation.
Overtime Eligibility	Status Quo	Association Proposal 10 – Amend
,		Article 3.5: member not eligible for OT
		on last day worked before a vacation
Missed OT Opportunity	City Proposal 3 - Amend	Status Quo
~~ *	3.5.10 re: missed OT – delete	Same Que
	"old grievance remedy."	
Missed OT Opportunity	City Proposal 13 - Amend	Status Quo
•	Article 3.5.7 re: missed OT –	Summa Quo
•	member to receive next	
	available 12 hr. OT bock and	
	receive a "no count".	
Jse of Comp Time on	Status Quo	Association Proposal 25 – Amend
Christmas Eve & Day	:	Article 3.2.1 (FF) & 3.2.2 (Battalion
·		Chiefs) 10 members off on Comp Time
		for both Christmas Eve & Day
acation day of		Association Proposal 15 – Amend
lattalion Chiefs not	_	Article 12.1
ounted toward number		
f members off per shift		
	City Proposal 5 – Amend	Status Quo
	Article 12.1 to reduce	7 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
	employees off on vac 12 to 8	

ISSUE	CITY PROPOSAL	ASSOCIATION PROPOSAL
Sick Leave Bank	City Proposal 9 – Amend	Status Quo
Deletion	Article 31 delete sick leave	
	bank & replace with yearly	·
	sick leave accrual and use	
	procedure	
Notification of Change	City Proposal 14 - Amend	Status Quo
in work Schedule	8.10.1 Battalion CBA notice	
(Battalion Chiefs)	of change 14 days prior &	•
•	changes in work shifts require	
٠	permission of Chief or	
	designee	
Delete Rotating Panel of	City Proposal 15 — replace	Status Quo
Arbitrators ·	with Rules/Regs of PERB	-

#### <u>CRITERIA 1 – COMPARABILITY</u>

N.Y. Civ. Serv. Law §209.4 requires that in order to properly assess and determine the issues before it, the Panel must engage in a comparative analysis of terms and conditions of employment with "other employees performing similar services or requiring similar skills under similar working conditions and with other employees generally in public and private employment in comparable communities."

# Positon of the Parties & Determination on Comparability:

The Association and the City have agreed on the listing of comparables. In a manner consistent with the 1989 Interest Arbitration Award chaired by John E. Sands between these same parties, and again in the January 2012 Interest Arbitration Award chaired by Jay Siegel, both Panel Chairs Sands and Siegel determined that the following Cities were appropriate as the Comparables for Albany: Rochester, Syracuse, Utica, Schenectady and Troy. As discussed in greater detail below, these are the comparables Association witness and Economist Kevin Decker used for his presentation on the City's ability to pay.

Accordingly, the Cities of Rochester, Syracuse, Utica, Schenectady and Troy will be used as the listing of comparable communities for the purpose of this Award.

# CRITERIA 2 - ABILITY TO PAY

# A. The Association's Position

In a nut-shell, it is the Association's position that the City has the ability to pay for its monetary proposals. In this regard, the Association produced Kevin Decker of Decker Economics as its expert witness on the issue of City Economics. Mr. Decker offered the following relevant points:

- The City's fiscal year extends from January 1<sup>st</sup> to December 31<sup>st</sup>.
- Of the three governmental funds operated by the City, the General Fund is the largest. In 2013, the City spent approximately \$193 million and the General Fund expenditures constituted \$168.4 million or 87% of the 2013 expenditures. Firefighters (as well as other employees within the City) are compensated out of the General Fund.
- Personal services and employee benefits are the two largest expenditures out of the General Fund, accounting for about 74% of General Fund spending. Of this, about 55% is for Public Safety expenditures or which the Albany Police Department spends \$54 million and the City's Fire Department spends \$32.7 million.
- The City's revenue sources for 2013 were comprised primarily of Real Property Tax (32%), Sales and Use Tax (18%) and Payment in Lieu of Taxes (15.6%).
- On average between 2009 and 2014 the City purposes tax [levies] have gone up by just over one percent. That's the number in italics at the bottom of Column 2. In comparison, the rate of inflation for this period was around two percent. So the City has kept its tax levy increase... nearly a full percentage point under the rate of inflation.
- The City receives 100% of its tax levy. There's no issue of uncollected taxes. The collections are guaranteed by the County, and then... in terms of thinking about the split between homestead [residential] and non-homestead [business], approximately 65 percent of the property is homestead property.
- With respect to the tax burden of an average single family homeowner for 2014, the tax bill for an average home assessed at about \$154,100 is, including school, city, county and library tax, \$4,957.05. Approximately one-third of that amount is attributable to City

- taxes; only 19.4% of the City taxes goes to the fire department, so only 6.2% of the homeowners' tax bill is attributable to the Fire Department (i.e. 84¢ per day)
- Over the last three year period, commencing December 31, 2011, the average Albany Taxpayer's City bill has increased by \$ 16.64 for an annual average increase of 1.08% representing about 0.02% of the average family income.
- Regarding the City's use of the State's constitutional debt limit from 2009-2014, "the City is not close to it. They've been under 40% for this entire period... currently at around 37.5%... an available margin of over 59 million dollars."
- With regard to Sales Tax, the City receives 32.17% of the 40% total sales tax receipts received by Albany County. Stats show that the City's Sales Tax revenues have increased to a point where for 2013 the City received approximately \$31 million and for 2014, the City is expected to receive about \$31.9 million in Sales Tax revenues.
- For 2014, the City maintains an unrestricted fund balance of approximately \$15.3 million. These funds can be spent in most any manner the City sees fit. For the period 2008-2013, the City's total fund balance has been about 12% 15% of expenditures, with the unrestricted fund balances running between 9% 12% of expenditures. The Association notes that this is significant given that Moody's recommends a minimum of unrestricted fund balance of 5% and the Government Finance Officers Association is recommending 15%. Accordingly, the Association notes that the City's fund balance is not indicative of any immediate fiscal problems, particularly since the City has had operating surpluses for three of the last five years. This means that while the City appropriated \$9.8 million for 2014, it is more likely than not that the City will not spend all of those monies.
- With respect to the Comptroller's Fiscal Stress Monitoring System, Mr. Decker's most updated information noted that the City's score was 35.4%; Scores between 0 and 45 percent, receive a "no designation" to the municipality's fiscal stress which is the lowest fiscal stress designation. (T-Vol.2-77-78). Mr. Decker explained that scores of 45%-55% receive a "susceptible to fiscal stress" designation, scores of 55%-65% get a "moderate fiscal stress" designation, and over 65% is designated "significant fiscal stress". (T-Vol.2-78). With regard to viewing the City as a fiscally eligible community, Mr. Decker noted: "There are two criteria that are looked at for municipalities in the state. There is a

fund balance criteria. If your average fund balance over the last five years is less than 5%... (Albany obviously did not qualify under that criteria) [Secondly] if your average full value tax rate is in the top 25 percent that also puts you on the list (Albany is on the list because of this)." (T-Vol.2-80)

- With regard to the impact of the Association's wage proposal on the average taxpayer, the Union note that the salary driven pay for the Association is approximately \$16.5 million for 2012 and \$16.9 million for 2013. Assuming a 2.5% pay increase, and assuming further that such pay increase is funded totally through an increase in real property taxes, the average homeowner's taxes would increase by \$15.70 per year.
- Next, the Union notes that the City's general obligation bonds are rated at "double A minus" by Standard and Poor's meaning that the City's bonds are of high-grade, high-quality and this rating is the fourth highest on Standard and Poor's scale of 22 ratings.
- Next, the Association notes that the Albany Police Officers Union settled on terms covering January 1, 2010 through December 31, 2013. The PBA bargaining unit received salary increases of two percent for January 1<sup>st</sup>, 2010, two percent for January 1<sup>st</sup>, 2011, three percent effective January 1<sup>st</sup>, 2012, and two and a half percent effective January 1<sup>st</sup>, 2013. The agreement with this bargaining unit was reached in November 2012. Those first three raises of two percent, two percent and three percent were full retro. The two years applicable in the instant matter reflect a three percent and the two and a half percent that the police were awarded in 2012 and 2013. (T-Vol.2-85)
- Next, in comparing relevant demographic and socioeconomic indicators for the comparables, Mr. Decker summarized and emphasized the importance of the information contained in this exhibit: "...when you look at Albany's demographics, none of these places stack up to Albany." (T-Vol.2-91) Albany is first or second in every indicator examined by Mr. Decker in this exhibit: (1) population increase, (2) median family income, (3) lowest percentage of families in poverty, (4) lowest unemployment rate, (5) percentage of adult population with Associate's Degree or higher, (6) median value of owner-occupied housing, (7) per capita taxable value (Albany has the highest value despite all the tax exempt property in the City, (8) use of state constitutional tax limit and (9) S+P rating. (T-Vol.2-93-94)

• Finally, the Union, with the assistance of Mr. Decker, concluded: "I think that the City does have an ability to pay a reasonable increase, and by reasonable increase I think something along the lines of what was negotiated with the police union would be considered reasonable in this case." (T-Vol.2-95-96)

#### B. The City's Position

As its initial point, the City notes that the Albany Police Officers' Union (consisting of rank and file police officers), negotiated a 2% in fiscal year 2010 and a 2% in fiscal year 2011, together with health insurance changes, including and an agreement that all newly hired police officers will make substantial health insurance contributions for the life of their career. Prior to that time all Police employees contributed toward health insurance until eight years of service; thereafter, the City fully funded the health insurance benefit. In fiscal year 2012, the police negotiated a 3% wage adjustment followed by a 2.5% increase in 2013 in exchange for major concessions on Health Insurance. It is the City's position that the current fiscal climate cannot support the extension of such wage adjustments to the Firefighters. This conclusion is supported by the extensive testimony of Budget Director Alam, together with the Exhibits she presented to the Panel. The main points supporting this City argument are as follows:

- Albany is New York State's capital City and as so, has the burden of lost tax revenue due to the high percentage of tax exempt property, mostly State owned, within its borders.

  Beginning at the end of 2009 and into 2010, the City of Albany experienced "serious financial challenges due to lower than anticipated State Aid (or AIM) payments, lower sales tax revenue and higher employee pension contributions."
- Starting in 2009 and moving forward to the present time, there continues to be a loss in the State revenue/AIM funds to the City of Albany. In addition, State pension costs and health insurance costs continue to rise. The City receives far less in per capita State Aid than other comparable cities [as compensation] for all of its tax exempt State owned property.

- Currently, 57% of all property." in the City is tax-exempt; 80% of all commercial property is tax-exempt. Since 2009, the City's commercial tax base has declined by 13.3% and our overall tax base is down 7%.
- The City's reaction to these serious and pressing fiscal issues included a change to retiree health Medical Advantage requirements; a reduction in the workforce; cutting operational expenses and provided no wage increases over an extended period to its non-union workforce. The City also was able to successfully negotiate, with two non-public safety unions, wage freezes for 2010 and 2011; it successfully negotiated health insurance changes with not only two blue collar units, but with its largest union the Albany Police Officers' Union, a Union that also enjoys the benefits of interest arbitration under New York's Taylor Law.
- Properties," the Public Financial Management Group conducted a management audit of the City of Albany. This Analysis, entitled "Capital Punishment" noted that while the City was an efficiently run municipality and had made significant strides toward prudent financial management in the last 16 years, the City faces substantial financial hurdles that are mostly outside of its immediate control. At the heart of Albany's anemic revenue profile is its role as the seat of State and County government, as well as the host for the State University of New York (SUNY) Central Administration and a major research campus of SUNY. As a result, nearly two thirds of the value of Albany's real property is exempt from taxation.
- While the State has recognized the plight of its upstate urban centers and created the AIM program to assist them, Albany receives only a fraction of AIM per capita funding of other major upstate cities.
- In February 2014, Mayor Kathy Sheehan noted that the City of Albany meets the statutorily established criteria of a "fiscally eligible municipality" with expenditures outpacing City budgeted and available revenues. As a result, the City is seeking for the Financial Restructuring Board to make recommendations (as it is charged to do) for "reforming and restructuring City operations."
- of all its neighbors and comparable jurisdictions, Albany remains one of the highest taxing entities to the point of relying on its fund balance to bridge its budget deficits.

These factors continue to impact the City even today. In this regard, Ismat Alam, the City's Budget Director testified to the City's past, present and forecasted economic situation. Ms. Alam testified that even without any consideration for wage adjustments (not only for Fire personnel, but City wide), the City faces a "deficit [of] over \$21 Million".

- e Retirement System costs for the Fire unit have increased 4150.5% from 2000-2014 while City Health Insurance payments rose 64.91% over approximately the same time period. City wide from 2004-2013 Retirement System costs jumped 28%, while City wide Health Insurance over the same time period jumped 27%. From 2010-2014 the City experienced a level provision of and a greater use and tapping into the rainy day/Contingency Fund. In fact, only \$445,585.00 was budgeted for contingency in fiscal 2014. By the time of the instant Interest Arbitration, the City had "already used \$349,390.00 ... because of the [contract] settlement in 2012 [paid in 2014] for the Non-Sworn [Police Civilian Unit]. .. we have less than \$100,000.00 left right now ... we haven't even started June yet".
- From 2010-2014 the City experienced a 13.3% decline in commercial property development. In the years from 2010-2014 the City raised Homestead (residential) property taxes by 11 %, and Non-homestead (commercial) property taxes by 13.0%. Among the established "comparable communities" the City of Albany stands slightly behind the City of Schenectady with the highest level of taxation.
- As noted in Mayor Sheehan's Memorandum to the Common Council, "The City is running out of Fund Balance to cover its deficit ... the gap between spending and revenue continues to grow" and the Mayor predicts that "Albany's Fund Balance is projected to fall below 5% of operating expenses at the end of 2014." To address the situation and as Albany is a "fiscally eligible municipality" the City has submitted its condition for State Financial Restructuring/Board review. The economic conditions of New York State's Capital City are not sustainable.

Accordingly, and based on the foregoing, the City maintains that it simply cannot afford an Award designed around the Association's demands.

### DISCUSSION - ABILITY TO PAY

While Albany's financial picture is not all doom and gloom, the fact remains that Albany faces many financial challenges: rising costs of employee benefits, all but a stagnant State Aid, high costs for fuel and utilities to name a few. These challenges are compounded by the fact that the cost of serving as the State's Capital, the County seat, and the region's largest city has resulted in a high percentage of tax exempt property, mostly State owned. In this regard, currently, 57% of all City property is tax-exempt, and 80% of the City's commercial property is tax-exempt leaving Albany's homeowners and a short list of private sector businesses to shoulder the burden of providing services to both residents and the more than 70,000 commuters who enter the City each work day.

Albany's most immediate and pressing challenges are a structural budget deficit estimated at between \$11 and \$18 million that has been overcome each year by band-aide measures such as spin-ups of 19A payments from the State, selling off space in the City's landfill, and spending down the City's fund balance.

As noted above, Albany's financial future is not all doom and gloom since the City can capitalize on some inherent assets and opportunities. For example, because of Albany's location and stature, the academic and health sectors located within the City, and a relatively stable employment picture all provide a basis to encourage more investment in the City. In addition, the record reflects that since the end of 2011, there has been a slight increase in the Taxpayer's City bill over the last three year period, amounting to approximately \$16.64 or 1.08% annual increase.

In addition to those positive factors noted above, the record reflects that the City's sales tax revenue has increased steadily since the 2009-10 recession years, resulting in just under \$31 million in 2013, exceeding pre-recession levels. The projection for 2014 is approximately \$31.9 million in sales tax revenues. Adding to Albany's positive and stable financial picture is an approximate \$15.3 million unrestricted fund balance and a general obligation Standard and Poor's bond rating of double A minus. This rating reflects a stable outlook and confidence in the

City's future. Indeed, the City is to be commended on its outstanding job of managing its resources through difficult times to a point where it has emerged in decent financial health.

Given the foregoing, while it is clear that the City does not have the ability to pay for the sum total of the Association's economic proposals, a majority of the Panel finds and concludes that on balance, the City has the ability to pay for the wage increases provided in this Award, particularly given the Panel's determination on health insurance changes, contributions, and the retroactive effect of the wage increases awarded as discussed in detail below.

# CRITERIA 3 - THE INTERESTS AND WELFARE OF THE PUBLIC

#### A. The Association's Position

It is the Union's position that the City and its residents benefit from having a professional, well-trained Fire Department. Moreover, there is no dispute that the work of the City's Fire and Police Departments encompasses tasks that are inherently dangerous and thus require the best of equipment and training. Therefore, the Union notes, in order to maintain the Albany Fire Department at its highest level, it is important to provide a salary and benefit packages that retains and attracts current employees and qualified candidates for future positions. It is therefore the Panel's task to issue a determination that accomplishes this important task.

#### B. The City's Position

While the City agrees with the Union's contentions, the City advises that the product of this Award will have a definite impact on the citizens and taxpayers of the City as well as its economic future. Thus, the City notes that while it is not "destitute" from an economic standpoint, the City struggles with day-to-day responsibilities of increasing costs, mostly associated with Health Care and Pension costs for its employees, costs over which, to date, it has had little control. Thus, when considering the City's economic forecast, which as of this date, is not a bright one, the City urges the panel to exercise its power and authority with great care and caution when fashioning its Award.

# DISCUSSION - THE INTERESTS AND WELFARE OF THE PUBLIC

Given the Panel's review of the Statutory Criteria regarding this topic, we are inclined to agree with the position of both parties in that it is our task to fashion an Award that maintains the terms and conditions of both bargaining units at a competitive level, in line with the Albany Police Department's agreement with the Albany Police Officer's Union ("APOU"), but yet achieves the delicate balance of providing an Award which the City can afford. As a result, in achieving this balance, the Panel will reject a number of proposals from both sides as it deals with the task of fashioning an Award that provides decent, yet affordable salary increases, and addresses the City's interests in reining in, to the most reasonable extent possible, souring Health Care costs.

# CRITERIA 4 - COMPARISON OF PECULIARITIES OF THE F.F. PROFESSION

The Panel has carefully considered the Statutory Criteria regarding the comparison of the Firefighting profession with other trades and professions, including specifically, (1) the hazards of employment, (2) physical qualifications; (3) educational qualifications; (4) mental qualifications, and (5) job training and skills. It is the Union's position that the Firefighting profession is a unique one such that aside from a comparison with the day-to-day inherent risks of the Police Department, with whom the Albany Firefighters work on a regular basis, there are no other comparisons.

The Panel does recognize that appropriate weight must be given to the particularly hazardous nature of the work performed by the Albany Firefighters and is mindful of the unique training, skills and pressures that Firefighters face each and every day – this Panel understands and appreciates the inherently dangerous nature of this profession as well as the impact of this profession on a Firefighter's quality of life and life span.

## THE PROPOSALS BEFORE THIS PANEL

#### I. <u>WAGE INCREASES</u>

### A. The Association's Position on Wage Increases

In its proposal, the Association seeks a 4% increase in salary to take effect on January 1, 2013, and another 4% to take effect on January 1, 2014<sup>1</sup>. The Association justifies its proposal by noting the following:

- First, the Association notes that its workload has significantly increased over the last five year period;
- Second, workload comparisons with other Fire Districts comparable to Albany
  demonstrate that Albany Firefighters represent the median, and therefore respond to more
  calls than does Schenectady, Troy and Utica, but less than Rochester and Syracuse.
- Third, the Association notes that Albany's emergency medical service ("EMS") calls have been consistently increasing to a point where, aside from Syracuse, Association bargaining unit members currently respond to more EMS calls than do any of its comparable Fire Districts;
- Albany's Labor Market Firefighters who compete for the same goods and services as
   Albany does received increases of between 2% and 4% for the two years at issue in this
   Interest Arbitration, and finally,
- The Association maintains that the City has the ability to pay for its requested increases in salary particularly given the fact that the City negotiated and agreed upon salary increases of 3% for 2012 and 2.5% for 2013 for its other Public Safety bargaining unit, the Albany Police Officer's Union.

<sup>1</sup> Not 6% as noted by the City.

# B. The City's Position on Wage Increases

It is the City's position that the salary increase sought by the Association are not realistic given today's economic climate. The City relies on the following to justify its position:

First, the City maintains that one must look at the "real" cost for the top grade (5 years of service) Firefighter, since this is where the majority of the bargaining unit fall. Here, the City notes that while the base wage is \$59,155, the average annual wage when adding in overtime, holiday pay, contractual stipends, health insurance and so forth results in a annual payment for the Top Grade Firefighter of approximately \$119,536, twice the base wage rate for this grade.

Next, the City notes that its Firefighters do well being the second highest paid among all comparables. Moreover, the City adds, in 2012 and 2013, a time when most of the comparable communities saw either a wage freeze or stalled negotiations, only the City of Schenectady was able to negotiate a 4% wage adjustment. Given the City's declining revenues and increasing expenses, the City is doing what it must to ensure its future which means holding the line with the participation of all its employees. Thus, to pay any kind of "reasonable increase" would result in the City's projected budget deficit for 2015 of \$21 million.

# II. <u>HEALTH INSURANCE</u>

# A. The City's Position on Health Insurance [City Proposals 6 & 6A]

City Proposal 6 and 6A seek to amend both the Firefighter and Battalion Chiefs CBAs to revise the health insurance plan options, modify the concept of employee contribution and overall update the contractual language. The City offers the following in support of its proposal.

First, the City notes that has not singled out the Firefighters in its proposal to modify and modernize the Health Insurance provisions. In this regard, the City notes that it has made a City-wide effort to move away from the Empire Blue Cross Wrap Around and the Empire Blue Cross Extended plans to plans that are more up-to-date in terms of provisions of benefits and options,

while at the same time attempting to rein-in spiraling health insurance costs<sup>2</sup>. There plans were designed specifically for the City of Albany years ago and currently do not exist outside of the City. Currently, the City is self-insured and works with a Third Party Administrator to assist with plan operations.

Second, the City noes that it was successful in negotiating a modification to its provision of health insurance with the Albany Police Officers Union ("APOU"), a unit consisting of 279 Police Officers and Detectives. In this regard, the City notes that the APOU agreed to the elimination of the Blue Cross Extended and wrap-around plan options and enrollment in the Blue Cross PPO Plan or the CDPHP plan. In addition, the APOU agreed that new hires would contribute toward their health insurance premiums for the duration of their career at a rate of 10% for Individual and 25% for Family coverage. Other negotiated changes include a tiered prescription drug component (a move away from a flat \$7 fee for all drugs) and updates to provisions of benefits. In addition to successful negotiations with the APOU, the City notes that other Unions also agreed to these changes including the AFSCME Blue Collar Unit, the Operating Engineers, and the City's non-Union personnel. All toll, the City notes that these modifications resulted in savings amounting to approximately \$200K each year.

# B. The Association's Position on Health Insurance [Association Proposal 23]

In its proposal, the Association seeks to codify current practices by the City which provide health insurance to its retirees at no cost to the retiree with the inclusion of the following language in the CBA:

Members who retire after 12/31/11 will receive health insurance during retirement at no cost and with coverage and benefits equal to or better than those employed on their last day of employment.

<sup>&</sup>lt;sup>2</sup> As of 2014, the City notes that the Family Premium cost \$1,775.99 per month, and the Individual Coverage cost \$763.45 per month. In addition, Bargaining Unit employees contribute toward their health insurance premium for their first seven years of employment at a rate of 10% for Individual coverage and 25% for Family coverage, after which the City pays for 100% of the premium amount. Consistent with the Current City Policy that retirees pay, at the time of their retirement, what they paid as an employee, employees who, at the time of their retirement, paid nothing toward their health insurance enjoy health insurance in retirement with the City paying 100% of the cost.

Currently, there is no language in either CBA that addresses health insurance at Retirement. In this regard, the Association notes that it is nearly alone since all comparable Fire Department provide some type of contractual guarantee regarding health insurance upon retirement. However, the Association stresses the need for such language given the fact that the City has recently proposed imposing a health contribution on its retirees consisting of 10% for Individual Coverage, and 25% for Family Coverage. Such a contribution would have a substantial financial impact on those on a fixed income the Association notes. In addition, while the City currently provides retiree coverage under the Blue Cross/Shield PPO Plan, the Association notes that there is simply no guarantee that the City will continue to do so in the future. Moreover, the Association adds, unit members assumed the job as City Firefighters with the understanding that they would enjoy the benefits of a solid health insurance program throughout their career and into retirement.<sup>3</sup> Indeed, the Association notes, given the day-to-day health and safety dangers to which an Albany Firefighter is subjected, the need for health insurance into retirement is a substantial one.

# C. The City's Response to Association Proposal 23

The City rejects the notion of including language in the CBA that memorializes what to date has been a City Policy in providing health insurance to its retirees. In this regard, the City notes that none of the CBAs between the City and any of its bargaining units includes such language. Currently, the City notes that with the absence of negotiated contractual language, City employees, once retired, are subject to legislatively imposed changes in the provision of retiree health insurance. While the City understands the desire for any of its employees to know that their health insurance will continue into retirement, the City notes that the issue of Retiree Health Insurance is not a matter that should be addressed by an Interest Arbitration Panel.

<sup>&</sup>lt;sup>3</sup> The record shows that currently, there are 132 bargaining unit members who are eligible to retire with 20 or more years of service.

# D. The Association's Response to City Proposals 6 & 6A

The Association notes that while it is willing to accept an overall health insurance package that eliminates the Blue Cross Wrap Around and Blue Cross Extended Plans, the proper forum for dealing with this as well as other health insurance issues is a City-wide committee of Council members, City Administrators, and representatives from all City Unions to air concerns and ideas from all sides and fashion a comprehensive health insurance plan to address these important issues. In addressing the City's proposal for a 10%/25% insurance contribution for new hires, the Association labels such proposal as "draconian", particularly since there will be no immediate cost savings, and more importantly, that it will create a "two tiered" bargaining unit, each tier having a different contribution rate, but all employees within each tier performing identical tasks and responsibilities.

# PANEL DISCUSSION ON WAGES AND HEALTH INSURANCE

In breaking with the traditional separate discussion regarding wages and health insurance, the Panel will deal with them as a "package", since in reality, wages and health insurance issues are inexorably intertwined for changes in one clearly impact the other.

First, with respect to <u>Wages</u>, it is the Panel Majority's conclusion that Association members should enjoy a wage increase no less than that achieved by the APOU. However, mindful of the fact that the APOU enjoyed an immediate implementation of these wage increases, it is the Panel's decision that the implementation of these two wage increases for members of the bargaining units members at issue will begin as of December 31, 2014 for the following reasons:

• The APOU's agreement on health insurance changes became effective at the same time as did their wage increases. Members of the Associations at issue in this proceeding however continued to enjoy the Empire Blue Cross Wrap Around and Empire Blue Cross Extended Plans during the period encompassed by this Award.

• Full retroactivity of these wage increases will be extremely costly to the City (estimated at approximately \$1.7 Million)<sup>4</sup> for which the current budget does not provide.

Next, with respect to Health Insurance, the Panel Majority Conclude as follows:

- First, we agree that the creation of a two-tiered health insurance contribution plan for active employees is not a good idea. Indeed, in a recent interview regarding current negotiations with the United Auto Workers Union, Fiat/Chrysler Corporation President and CEO Sergio Marchionne agreed that the two wage tier system at Chrysler Corporation was not, in retrospect, a good idea and should be eliminated in exchange for a single wage system. Moreover, and as noted by the Association, implementation of the City's proposal for new hires will produce negligible savings for the current future.
- Next, we agree with the Association that exploration of other health insurance models by a joint Labor-Management Committee is a wise idea. Moreover, this joint Labor-Management Committee should be tasked with the discussion of health insurance models for both active members as well as retirees. In this regard, and by way of example, in response to continually escalating health benefit premium costs, Erie One BOCES, located in Western New York, established the NY44 Health Benefits Plan Trust in 2003. The Plan later expanded to employees and eligible retirees not only from Erie 1 BOCES, but also to schools throughout New York State. As it begins its eleventh year of operation, and having achieved self-funded status as of January 2008, the Trust has grown to approximately 14,000 enrollees in 50 participating school districts, BOCES and Community Colleges representing over 177 bargaining units. Throughout this time, the Trust has managed to keep yearly increases in the single digits, well below the "Community" standard. What makes the Trust unique is that the plan, overseen by the New York Insurance Department, is controlled by 10 trustees who are employees of Erie 1 BOCES and represent all participating schools: five appointed by the labor organizations and five appointed by Erie 1 BOCES' administration. Because the Trust is jointly run, both union and management have an equal say in how it operates including

<sup>&</sup>lt;sup>4</sup> As if December 31, 2011, a 1% increase amounted to approximately \$219,000. Thus, a 3% increase in 2012, compounded by a 2.5% increase in 2013 amounts to approximately \$1.7 Million.

decisions about benefits offered to the members and annual contribution rates charged to the school. The Trust plans have historically helped contain costs for enrolled schools. As a self-funded plan, the Trust benefits from having contribution rates which more closely reflect the actual health claims experience of the Trust's covered employees as opposed to a traditional insurance plan, employing a 'community rating'. The City of Rochester, noted in the Association's presentation, and Eric County, the latter of which this Arbitrator was involved in creating, each designed a Labor-Management health insurance program that provides premium coverage for active employees as well as retiress, is portable, and has resulted in substantial savings. In its first year of operation, the County of Eric witnessed a savings of approximately \$25 Million.

Given the foregoing, and following careful consideration of the Statutory Criteria, testimony and exhibits, documentation and post-hearing briefs filed, the Panel hereby makes the following Awards:

## AWARD = DURATION

The term of this Award shall be from January 1, 2012 through December 31, 2013.

CONCUR DISSENT JAMES W. ROEMER, Jr., E.O. DATE EMPLOYER PANEL MEMBER

CONCUR DISSENT JOHN M. CROTTY, ESQ. DATE

EMPLOYEE PANEL MEMBER.

<sup>&</sup>lt;sup>5</sup> For more information, see were ny44 elbore,

## AWARD ON BASE WAGES

# ARRICHESS I SALARIES

The salary schedule shall be increased, effective December 31, 2013 as follows:

- The Salary Schedule in effect as of December 31, 2011 shall first be increased effective January 1, 2012 by 3.0% for all positions;
- The Salary Schedule created by the 3% increase shall again be increased for all positions by 2.5% effective January 1, 2013.

The salary increases generated by the above changes to the Salary Schedule and any costs associated therewith such as overtime rates, holiday compensation, etc. generated by the foregoing changes to the 2012 and 2013 Salary Schedules shall be payable on and after December 31, 2013 and not before.

CONCUR DISSENT FAMES W. ROEMER, IT, ESO. DATE EMPLOYER PANEL MEMBER

CONCUR DISSENT IOFENM CROTTY, ESO. DATE

ON DESCRIPTION EMPLOYEE PANEL MEMBER

# AWARDON ARTICLE 27 - HEALTH INSURANCE

# ARTICLE 272 AND 27.3 Shall be Modified as follows:

With at least 60 days' notice to the Association, the City shall have the right to change the Health Insurance program set forth in Article 27.3 of the 2010-2011 Collective Bargaining Agreement, and if the City exercises such option, the Current Health Insurance programs set forth in Article

27.3 of the APPFA CBA and APPFA (Battalion Chiefs) CBA shall be modified to read as follows:

- a) A utilization review management process shall be instituted with:
- 1. Pre-Certification component with utilization review;
- 2. Mandatory second surgical opinion, and
- 3. Mental health/Substance inpatient utilization review.
- b) There shall be mandatory mail order for custodial/maintenance drugs.
- c). There shall be mandatory generic substitution for prescription drug coverage.
- d) Prescription drug co-pay shall be as follows:
  - BCBS PPO: \$2/\$7/\$20 [Generic/Formulary/Non-formulary]
  - CDCHP: \$5/\$20/\$35 [Generic/Formulary/Non-formulary]
- e) The City will comply with NYS Timothy's Law/Mental Health Parity Act The Act requires insurers to provide the same level of benefits for mental illness or substance abuse as for other physical disorders and diseases. These benefits include visit limitations, deductibles, copayments and lifetime and annual limits.

CONCUR	DISSENT	JAMES W. ROEMER, Jr., ESO EMPLOYER PANEL MEMBER	3/30/45 DATE
CONCUR	<u>X</u> DISSENT	HOHIVIA CROTTY, ESQ. EMPLOYEE PANEL MEMBER	417/15/

# AWARD ON EMPLOYEE CONTRIBUTION FOR HEALTH INSURANCE

Upon the Association's receipt of the 60-day written notice by the City to the Association of its intent to replace the Health Insurance programs set forth in Article 27.3 of the 2010-2011 CBAs, Article 27.2 shall be amended to read as follows:

All bargaining unit members who accept Health Insurance benefits under the health insurance agreements shall pay 10% of the premium cost for such coverage for the duration of their employment with the City.

CONCUR

DISSENT

JAMES W. ROEMER, Jr., E.O.

EMPLOYEE PANEL MEMBER

CONCUR

DISSENT

DATE

EMPLOYEE PANEL MEMBER

# HEALTH INSURANCE FOR RETIREES

The Association's proposal to include language in the CBA which codifies the City's practice of providing Health Insurance to any Firefighter who retires after December 31, 2011 is rejected. However, with regard to those bargaining unit members who, as of December 31, 2013 were not contributing to their Health Insurance Coverage shall be shall be deemed, under the City Policy/Practice in effect as of the execution of this Award, to be contributing 0% of their health insurance premium.

CONCUR DISSENT JAMES W. ROEMER, Jr., ESQ. DATE
EMPLOYER PANEL MEMBER

CONCUR DISSENT JOHN M. CROTTY, ESQ.
EMPLOYEE PANEL MEMBER

<sup>5</sup> It is understood that pursuant to the City's prevailing policy/practice, a retiree shall pay that percentage of the premium amount in retirement as he/she paid while employed in active City status.

# III - ASSOCIATION PROPOSAL NO. 9 - PARAMETIC RIG INCENTIVE

## A. The Association's Position

The current CBA does not provide any incentive for working on a Paramedic rig. The Association offered the following as justification for its proposal:

Members of the paramedic companies are required to work harder because their companies are very busy. Members must go through additional training. Members are inversed into the paramedic companies because it is difficult to keep people on the rigs.

(Testimony of Battalion Chief Michael Burns, TR Vol 1 at 97)

The cost of the Association's proposal amounts to \$2080 per person per year with a minimum of 32 unit members, and a maximum of 40.

## B. The City's Position

It is the City's position that this proposal should be rejected by the Panel. In this regard, the City notes that it already provides monies, at various levels, which should serve as the incentive, particularly the yearly stipend set forth in the CBA to any Unit Member who is a Paramedic, an amount of \$2,200 per year. Moreover, the City maintains that this incentive, if granted, is subject to overtime calculations, and all toll, will amount to a very costly proposition. The Department has, on average, 3 to 4 Paramedics inversed to Paramedic Rigs, which would cost approximately \$70,000 per year.

# <u>DISCUSSION & AWARD ON ASSOCIATION PROPOSAL 9</u>

Following our careful review of the record, the Panel Majority finds support for the Association's proposal to create some type of incentive for Paramedics. The problem, as we see it, lies in the fact that Paramedics are obligated to serve in this capacity for a period of five (5) years, after which time a number of them elect to leave the position. As a result, the Department

is left with no choice but to inverse Paramedics in order to maintain their crucial service.

Accordingly, the Panel believes that an incentive should begin at the beginning of a Paramedic's sixth year of service on a Paramedic Rig as a means to retain Paramedics who might otherwise elect to leave the position. Therefore, the Panel makes the following AWARD:

Effective upon the execution of this Award, Paramedics who qualify as of that date and thereafter shall be entitled to the following incentive: At the beginning of their Sixth (6<sup>th</sup>) year of consecutive service as a Paramedic on a Paramedic Rig, said employee shall receive a stipend of a \$1.00 per hour increase. Said increase shall continue so long as said employee continues in such Paramedic Rig service.

CONCUR DISSENT JAMES (W) ROEMER, Jr., ESC. DATE
EMPLOYER PANEL MEMBER

CONCUR DISSENT JOHN M CROTTY, ESQ. DATE
EMPLOYER PANEL MEMBER

# CIET PROPOSAL 15:- ARTICLE 20, ARBITRATION & ARTICLE 21, DISCIPLINE

The City seeks to amend both CBAs concerning the existence of an Arbitration Panel.

Specifically, the City seeks to Amend Article 20 by deleting the reference to an Arbitration Panel as set forth in Section 20.4. The City also seek to amend Article 21, at Section 21.4.3 by deleting this entire section in its entirety, and replacing it with the following language:

If the employee elects to proceed to arbitration, the Arbitrator shall be selected in accordance with the NYS PERB's Rules for the Selection and Appointment of an Arbitrator. In any event, the parties may, at their discretion, mutually agree to an Arbitrator.

Consistent with the foregoing, the City also seeks to DELETE Appendix "C" in its entirety, and to AMEND Appendix "E" at Section 19 by deleting "using the rotating list of arbitrators set forth in this agreement for the contract grievances and disciplinary arbitrations." And finally, the City seeks to Amend the CBAs wherever applicable as to utilization of NYS PERB's Rules of Procedure to replace Appendix "C".

The Association notes that in past agreements, both parties have been willing to modify the CBA by including a listing of mutually acceptable Arbitrators the purpose of which was to expedite the process as well as to save costs. In response to the City's proposal 15, the Association counters with its suggestion to agree on a list of 5 "Local Arbitrators" which would include the two presently on the list.

# DISCUSSION & AWARD - CITY PROPOSAL 15

Following our careful review of the subject language currently in the CBA, the Panel Majority hereby <u>GRANTS</u> the City's proposal as follows:

- Delete Section 20.4
- Amend Article 21, Section 21.4.3 by replacing the current language with the following:

"If the employee elects to proceed to arbitration, the Arbitrator shall be selected in accordance with N.Y.S. PERB's Rules for selection and appointment. The Parties are at liberty to agree upon a munically agreeable Arbitrator."

- Delete Appendix "C" in its entirety;
- Amend Appendix "E" at Section 19 to delete "using the rotating list of arbitrators set forth in this agreement for the contract grievances and disciplinary arbitrations.
- Amend the CBA where applicable so as to reflect the use of the NYS PERB and PERB's Rules of Procedure for the selection of Arbitrators which will replace Appendix "C".

The foregoing changes shall become effective upon the signing of this Interest Arbitration. Award,

CONCUR	DISSENT	JAMES W. ROEMER, Jr., ÉSQ. EMPLOTER PANEL MEMBER	BATE DATE
CONÇUR	DISSENT	JOHN M. CROTTY, ESQ. / EMPLOYEE PANEL MEMBER	4/4/2015 DATE

# ASSOCIATION PROPOSAL 10 - OVERTIME ELIGIBILITY ON DAY PRIOR TO VACATION

The Association seeks to amend the CBA so that a Firefighter/Battalion Chief would not be eligible of overtime "on their last work day before their vacation." As justification for its proposal, the Association notes that individuals who are scheduled to be off for vacation are eligible for overtime on three shifts – three off days prior to their scheduled vacation day on their shift. The Association notes that it is not uncommon for the individual to be called for overtime on those days. However, since that individual will begin his/her vacation during that time period, he/she will be inclined to turn the overtime offer down, at a financial cost to that individual.

The City does not object to this proposal, but proposes to add ".. or after their last scheduled workday before retirement."

The Association objects to the City's suggested addition because it would deprive employees of the opportunity to work and thereby adversely affect their last wage payments and potentially their pensions. However, the Panel Majority will GRANT Association Proposal 10 with the suggested language proposed by the City effective upon the signing of this Interest Arbitration Award.

CONCUR	DISSENT	JAMES W. ROEMER, Jr., E.O. EMEROYER PANEL MEMBER	3/20/16 DATE
X CONCUR	DISSENT As to Cityan added language	JOHN M. PROTTY, ESQ. LEMPLOYER PANEL MEMBER	H/7/IS/ DATE

## CITY PROPOSALS 3 & 13-AMEND "OLD GRIEVANCE REMEDY"

Article 3, Work Schedules, at Section 3.5.10 (& Battalion Chief CBA Section 3.5.7) provides, in relevant part:

Where a member of the bargaining unit is inadvertently passed over by the Department, then, that member of the bargaining unit shall be given first preference for the next available pre-planned overtime which occurs.

... In this situation, [the "old grievance remedy" of the aggrieved member working as an "extra man"] the aggrieved member shall have the right to work on the date of their choosing, on a Company assigned by the Battalion Chief with the consent of the Fire Chief and/or his designee, within thirty (30) days of the date of settlement.

The City proposes replacing the foregoing second paragraph with the following:

In these instances, the member will receive the next available twelve (12) hour overtime block and will receive a "no count."

The City supports its proposal by noting that the "old grievance remedy" provides that when an employee is inadvertently skipped over for overtime, that employee must be given work even if that employee is not needed to provide the necessary staffing coverage. The City notes that this remedy is not economic feasible nor prudent since it results in overstaffing and the utilization of overtime since even though a Platoon may have this "extra man", that "extra man" does not count toward any internal staffing minimums.

The Association objects to the change proposed by the City, noting that these demands address situations that are "rare (almost none with Battalion Chiefs and maybe 6 in the last year with the Firefighters). In response, the City notes that from January 2011 through October 2012, there were approximately 23 occurrences for an estimated 130 hour of unnecessary time.

# DISCUSSION & AWARD - CITY PROPOSALS 3 (F.F.) & 13 (BATTALION CHIEFS)

The Panel Majority grants the City's proposed change. The Panel Majority reached this conclusion since it is the intent of this provision to make any individual who was inadvertently passed over for an overtime opportunity whole – it is not nor should it be a "make work"

provision, particularly when no work exists. Moreover, the Panel notes that the adopted City Proposal 3 & 13 grant the skipped individual with 12 hours of work as opposed to 4 hours under the old grievance remedy, thus making this a "win-win" opportunity for both parties hereto.

### AWARD

The City's Proposals 3 & 13 are <u>GRANTED</u> effective upon the signing of this Interest Arbitration Award.

CONCUR DISSENT JAMES W. ROEMER, Ir., E.O. DATE EMPLOYER PANEL MEMBER

CONCUR DISSENT JOHN M CROTTY, ESQ. DATE DATE

# REMAINING ISSUES

The Panel has reviewed the demands and proposals of both parties, as well as the extensive and voluminous record in support of said proposals. The fact that these proposals liave not been specifically addressed in this Opinion and Award does not mean that they were not studied and seriously considered in the context of contract terms and benefits by the Panel Members. In Interest Arbitration, as in collective bargaining, not all proposals are accepted, and not all contentions lead to agreement. Moreover, this Panel Chair is of the opinion that Interest Arbitration is not and was never designed to be a substitute for good faith negotiations but was designed as a mechanism to resolve disputes between Labor and Management that may exist notwithstanding their good faith negotiations. Accordingly, the Panel, in reaching what it has determined to be fair results, has not addressed or made an Award on many of the proposals submitted. The Panel is of the view that this approach is consistent with the practice of collective bargaining. Accordingly, we make the following Award:

# AWARD ON REMAINING ISSUES - CITY OF ALBANY

AWARD ON REMAINING ISSUES — ASSOCIATION ILOCALS 2007 & 2007Al

Any proposals and/or terms other than those specifically modified by this Award are hereby rejected.

AWARD ON REMAINING ISSUES — ASSOCIATION ILOCALS 2007 & 2007Al

Any proposals and/or terms other than those specifically modified by this Award are hereby rejected.

ONCUR

DISSENT

JAMES W. ROEMER, I., ESQ.

DATE

EMPLOYER PANEL MEMBER

DATE

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JAMES W. ROEMER, I., ESQ.

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EMPLOYER PANEL MEMBER

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DENT JAMES W. ROEMER, I., ESQ.

DATE

EMPLOYER PANEL MEMBER

# RETENTION OF TURISDICTION

The Panel hereby retains jurisdiction of any and all dispute arising out of the interpretation of this award for a period of 120 days from its execution date.

CONCUR DISSENT JAMES W. ROEMER, Jr., E. DATE EMPLOYER PANEL MEMBER

JOHN M. CROTTY, ESQ. DATE

EMPLOYEE PANEL MEMBER

ACCORDINGLY, the Panel, following consideration of the record evidence and after due consideration of the statutory criteria, executes this instrument which is the Panel Award.

STATE OF NEW YORK) COUNTY OF WESTGIESTER) 85.: Newyor—

On this 17day of Apac, 2015 before me personally came and appeared Dennis J. Campagna, Esq., to me known and known to me to be the individual described in the foregoing instrument, and he acknowledged to me that he executed the same.

DENMS LEAMPAGNA

Notary Public

ELIZABETH AMAVA Holdin - State of Here Hold KO. OTAN6785758

Qualified in New York Could
My Commission Expires \_2/2

STATE OF NEW YORK)
COUNTY OF ALBANY) 55.:

On this 2 day of Mars, 2015 before me personally came and appeared James W. Roemer, Ir., Esq. to me known and known to me to be the individual described in the foregoing instrument, and he acknowledged to me that he executed the same.

JAMES W. ROEMER, Jr.

Notary Public

EMILY J. BOND No. 01 E0 STATE OF New York No. 01 E0 STATE SO Qualified in Albany County Commission Explain 10-14-2018

STATE OF NEW YORK)

COUNTY OF ALL SS:

On this Today of April y, 2015 before me personally came and appeared John M. Crotty, Esq. to me known and known to me to be the individual described in the foregoing instrument, and he acknowledged to me that he executed the same.

TOHNM CROTTY

Notary Public

REGAN MATWELL
MOTARY FUBLIC, STATE OF NEW YORK
Qualified in Grange County
Reg. No. 01MA6278666 34
Comments of Explicit March 25, 20 1 in 1

# STATE OF NEW YORK PUBLIC EMPLOYMENT RELATIONS BOARD

In the Matter of Compulsory Interest Arbitration Between

ALBANY PERMANENT PROFESSIONAL FIREFIGHTERS ASSOCIATIONS, LOCAL 2007, LOCAL 2007-A, I.A.F.F., AFL-CIO, CONCURRING OPINION OF PUBLIC EMPLOYER PANEL MEMBER JAMES W. ROEMER, JR., ESQ.

PERB Case No.: IA2013-014; M2012-289

-and-

THE CITY OF ALBANY, NEW YORK.

I am constrained to write a Concurring Opinion to this Award. The Interest Arbitration process is not an exact science and, to a large extent, it is an extension of the negotiating process. The parameters of this Award reflect that negotiating process. For example, even though the City of Albany and the Police Officer's Union long ago entered into a Collective Bargaining Agreement covering the same period of time that this Award covers, and that Agreement authorized a 3% wage adjustment for 2012 and 2.5% wage adjustment for 2013, in my view, the deteriorating financial situation for the City of Albany, as acknowledged by the Chairperson of the Panel, would not warrant a repeat of those wage adjustments for this bargaining unit. However, even though those wage adjustments are being authorized by this Award, the fact that they are being authorized on the last day of the two year period for which this Award covers, effectively means there is no retroactivity on those awards for 2012 and 2013. In addition, the Panel has authorized the same health insurance plans from the existing "Cadillac plan" to those authorized by this Award will result in considerable savings for the City and its taxpayers. Furthermore, a majority of the Panel is authorizing a 10%

health insurance premium contribution for all members of the bargaining unit when these new health plans go into effect. Currently, more than 75% of the bargaining unit members are not contributing at all toward their health insurance premium, so this change will provide the City with additional revenue to support this important benefit.

Currently, no Collective Bargaining Agreement between the various unions and the City of Albany authorizes a continuation of health insurance in retirement. That benefit is controlled by the City through policy, which, in my view, under the current law, is subject only to change by the City. This Award provides that members of the bargaining unit who were on the payroll as of December 31, 2013 shall be "deemed" to be paying nothing towards their health insurance premium on their last day of work, the affect being that under current City policy (subject to being changed in the future), they will not be required to contribute towards their health insurance premium after they retire. The Union sought to get contractual protection on this issue and a majority of the Panel has rejected that proposal, leaving health insurance in retirement entitlement and the premium contribution issue at status quo.

Finally, one of the City's proposals which came to the Interest Arbitration Panel dealt with curtailing the number of bargaining unit members who could be off on vacation and other types of leave at any particular point in time. After the Interest Arbitration hearing was held, the Panel was informed by the Employee Organization Panel Member, Mr. Crotty, that the Union and the City had entered into a binding agreement, which resulted in a reduction in the number of individuals allowed to be off and that that agreement effectively modified the Collective Bargaining Agreement on that subject making consideration of that subject by the Panel unnecessary. Because the parties reached that agreement, the Panel did not deliberate or make an Award on that issue.

Dated: March 30, 2015 Albany, New York

STATE OF NEW YORK

COUNTY OF ALBANY

On this day of March, 2015, before me personally came and appeared James W. Roemer, Jr., Esq., to me known and known to me to be the individual described in the foregoing instrument, and he acknowledged to me that he executed the same.

EMILY J. BOND Notary Public, State of New York No. 01805313190 Qualified in Albany County Commission Expires 10-14-2018

# STATE OF NEW YORK PUBLIC EMPLOYMENT RELATIONS BOARD

In the Matter of Compulsory Interest Arbitration Between

ALBANY PERMANENT PROFESSIONAL FIREFIGHTERS ASSOCIATION, LOCAL 2007 and LOCAL 2007-A, I.A.F.F., AFL-CIO,

-and-

PERB Case Nos. IA2013-014 M2012-289

THE CITY OF ALBANY, NEW YORK.

# Opinion Of Employee Organization Member John M. Crotty

I file this opinion to explain my votes upon certain terms of this award.

The record does not establish that the City's financial condition is "deteriorating" as claimed by the City's panel member, or is otherwise in a state that warrants a wage award without retroactivity. The City's "positive and stable financial picture" (award p.13) should have resulted in an award making the wage increases payable earlier than December 31, 2013.

As to the employee health insurance concessions awarded to the City, the Panel should have deferred to the collective bargaining process and not awarded those concessions. The Panel knew about the Association's past and on-going efforts to work with the City to achieve cost savings, including its active participation with the City and other unions on a labor-management

committee that is currently exploring alternative health insurance models that could save the City very substantial monies while protecting the Association's members and their families. The Association wants to be a partner with the City in seeking ways through the collective bargaining process to stabilize or decrease the costs of health insurance. The solution to the health insurance issues facing this country is not to be found in interest arbitration awards that decrease benefits and shift costs to employees. That is unfair to employees and it is an approach doomed to failure in the long term.

As to retiree health care benefits, this award does not state or suggest that the City has a right to unilaterally change retirees' benefits at will. That is only an opinion expressed by the City's representative to this Panel. Health insurance benefits have been provided to retirees for so long and so consistently that I and the Association believe their continuation has been and is ensured under an implied contract theory or an enforceable practice. Moreover, the terms of this award address retiree health care benefits in a way that further ensures the continuation of those benefits unless and until those benefits are changed by agreement with the Association or a future interest arbitration award. The City's representative believes otherwise, but that, again, is only his opinion.

I dissented from the paramedic award because it does not offer any meaningful solution to the problem the Panel recognized nor does it address the Association's rationale for its proposal. The problem lies in paramedics surrendering their paramedic certificates after six years to avoid forced assignment to paramedic rigs. The record fully supports the Association's claim that work on the rigs is onerous and that the City often has to "inverse" junior paramedics to these paramedic rigs because many senior paramedics do not bid onto them with any frequency or with continuity.

The award extends the additional compensation only to those paramedics who have served on a paramedic rig for five consecutive years and then remain on a paramedic rig thereafter. This part of the award will likely apply to very few, if any, paramedics. It does not compensate paramedics soon enough for the nature of the work performed on the rigs, it will not result in paramedics retaining their certificates, and it will not prevent or lessen inversing. The award in this respect is too little, too late and for that reason I dissent.

Dated: April/5, 2015 Albany, New York

John M. Crotty

APPENDIX "G"
AFD's Quality Improvement Committee (dated, 3/19/2019)

2000

Memorandum of Agreement between the Albany Permanent Professional Firefighters Association ("APPFA") and the City of Albany ("City") regarding the Department of Fire And Emergency Services' Quality Improvement Committee

WHEREAS, the APPFA and the City's Department of Fire and Emergency Services ("AFD") have engaged in impact negotiations concerning the suspension of and subsequent changes to AFD's Quality Improvement ("QI") Committee set forth in Albany Fire Department Notice #18-71 with attachments dated October 26, 2018 the parties have come to the following agreement set forth below:

- 1. Each (April 2018) QI Committee member will have the opportunity to earn 10 hours of overtime by reviewing Patient Care Reports ("PCRs"). Each QI Committee member must complete the 10 hours of PCR-review and submit all required documentation within 3 calendar months of the execution of this agreement. Instead of flagging or adding comments in the system, the individual will send an email to the Deputy Chief of EMS with the PCR's number and any comments or flags (whether corrective or complimentary) regarding the PCR, each time they review PCRs. (If the former QI Committee members are also current QI Committee members, the 10 hours must be different from and in addition to any PCR-review completed as part of the current QI Committee function.)
- 2. The City will honor the Union President's contractual right to appoint 1 member of the QI Committee.
- 3. QI Committee members will receive 3 hours of overtime each month for participation on the QI Committee.
- 4. Discipline of AFD employees arising from QI Committee reviews will be in accordance with the parties' collective bargaining agreement.

5.

a. Before recommending removal, the AFD Medical Director will advise the QI Committee member in writing of the alleged violation(s) or non-compliance with QI Committee standards, AFD Rules and Regulations, City policy, and/or state law.

- b. The QI Committee member will be given an opportunity to respond in writing (within 5 business days), and an opportunity to correct the alleged violation(s) within 2 held QI Committee meetings unless it is an attendance issue, for which the AFD Chief may permit up to 6 months to correct.
- c. If the Medical Director still recommends removal from the QI Committee (after the Committee member's response and opportunity to correct) the Medical Director will state his/her reasons for recommending removal to the member with a copy to the Union and AFD Chief.
- d. The Medical Director's recommendation will be referred to a 3 person panel for a final and binding decision on removal. The panel will consist of the AFD Chief, Union President, and REMO-agency Medical Director (other than the AFD Medical Director) mutually chosen by the Fire Chief and Union President. Any associated cost will be split evenly between the City and the Union.
- 6.
  - A 3 member team consisting of the Medical Director, an AFD management representative and a union representative will review all (blinded) QI Committee applications. Each member will assign a score (1-5, with 5 being the highest score) to each completed application. Scores will be added together (with 15 being the highest score possible). Applicants scoring 10 or higher who meet the qualifications set forth in Step 4 of AFD Notice #18-71 (10/26/18) will be eligible for appointment of the QI Committee. Eligible applicants will be appointed by strict seniority.
  - b. If no applicant scores 10 or above, then those scoring 9 who met the above-identified qualifications will be eligible and the most senior qualified applicant will be appointed to the QI Committee. This same process will be used if the highest score is less than 9.
  - c. Applications will be good for 6 months. No new notice will be posted for new applicants within the 6 month period unless the list of qualified applicants is exhausted.

- d. This section will be read and interpreted in conjunction with and consistent with selection process set forth in AFD Notice #18-71 (10/26/18), however, if a conflict exists, this agreement shall prevail.
- 7. The QI membership of RF will be voided and a new Notice for QI Committee applicants will be posted within 30 days of the complete execution of this agreement to fill the vacancy.
- 8. The Union will withdraw its Improper Practice Charge (PERB No.: U-36677), and will not file any new grievances or improper practice charges or any impact or impact negotiations of either for acts/omissions of the City prior to the execution of this Agreement regarding AFD's 2018 suspension and reinstitution of the QI Committee.
- 9. This agreement will not become part of the collective bargaining agreement between the parties, but alleged violations of the same are subject to the agreement's grievance procedure (Articles 19 and 20).

Date:		
·		
Robert Mengel,	Joseph Gregory,	
President, For the Union	Albany Fire Chief	

APPENDIX "H"
Employee Manual Agreement
(dated, 12/30/2015)

Agreement between the City of Albany, New York and the Albany Permanent Professional Firelighters Association, Locals 2007 and 2007-A

WHEREAS, the City of Albany, New York ("City") is a "public employer" as defined in the Taylor Law;

WHEREAS the City Department of Fire and Emergency Services ("AFD") provides fire suppression and emergency medical services to City residents and the surrounding areas;

WHEREAS, the Albany Permanent Professional Firefighters Association, Locals 2007 and 2007-A, A.F.F., AFL-CiO (collectively referred to as "APPFA"), is an "employee organization" as defined in the Taylor Law;

WHEREAS, the City and APPFA are parties to a collective bargaining agreement;

WHEREAS, on or about February 10, 2019, the City promulgated to all City employees a new policy and procedure manual ("Manual");

WHEREAS, on or about June 3, 2019, APPFA filed an Improper Practice Charge with the New York State Public Employment Relations Board ("PERB"), identified by PERB as U-36966, in which APPFA alleged, among other things, that the City's Manual changed certain terms and conditions of employment without the consent of or prior negotiation with APPFA;

WHEREAS, on or about August 20, 2019, APPFA filed a grievance ("Grievance") alleging the same claims as was set forth in the improper Practice Charge; and

WHEREAS, on August 22, 2019, a conference was held between representatives of the City and APPFA before PERB Administrative Law Judge Brittany L. Sergent, during which both parties expressed a desire to settle the improper Practice Charge and Grievance;

The City and APPFA agree as follows:

- APPFA will consider the Grievance to be fully resolved at execution of this agreement and will withdraw the Improper Practice Charge (U-36966) within 20 calendar days.
- The City will either (a) delete the second paragraph of the Manual's "Message to Our Union Employees" or (b) change said paragraph to the following:

For union employees, multiple sources govern the terms and conditions of employment. First and foremost, you should become familiar with your collective bargaining agreement and any Memoranda of Agreement between your union and the City. When those do not address an area, look first to binding past practice and then to City and Department policy for guidance on your City employment. We have made efforts to acknowledge herein situations that may be governed by the collective bargaining agreement or other authority. If you have any questions, please contact your Department Head, Human Resources, or union representative.

The City will add the following language to section 13.0 regarding its Vehicle Policy: "[This
policy applies to all City of Albany ("City") employees], except those in the Police and Fire
and Emergency Services departments."

- 4. The City will delete the following from section 3.3 of its Manual regarding equipment damage: "The employee must repair or replace any City-owned tool or piece of equipment lost or damaged by the employee as a result of negligence or intentional misuse."
- 5. The City will not discipline any AFD employee for any alleged use of another employee's NFIRS password until 5 calendar days after AFD notifies AFD employees (through either a may create a unique password for their personal account.
- 6. For five years following the execution of this agreement, APPFA will make reasonable efforts to both inform the AFD Chief of any alleged Improper practice (pursuant to NYS Civil practice charge—reasonably in advance of filing the charge with PERB.

Joseph W. Gregory, Chief of Department

Robert Mengel, APPFA President

12-31-19

Date

December 30, 2019

Date

# APPENDIX "I" Tactical Medical Team Protocols (dated, March 2022)



## ALBANY FIRE DEPARTMENT

## **Tactical Medic Team Protocols**

### 1. MISSION STATEMENT

The mission of the Albany Fire Department Tactical Medic Team is to provide the highest level of emergency medicine to bystanders, hostages, law enforcement, and suspects within the "hot zone". This is achieved by seamlessly integrating with Albany Police Department EST (Emergency Services Team) in all tactical situations to include; barricade operations, hostage rescue, high risk apprehension, high risk warrant service, dignitary/personal protection, terrorism response, active shooter, civil disturbance/protests, and special assignments. Tac Medics are specifically trained and equipped to deal with ballistic, blast, and other violence induced trauma to preserve life during critical incidents and high risk operations where traditionally, treatment could not be given.

#### 2. PURPOSE

The Purpose of the Albany Fire Department Tactical Medic Team is to provide emergency care to Police Officers, bystanders, and suspects without having to wait on a unit staged at a distant location. The medics are trained as Albany Fire Department Paramedics and work as members of the perimeter team.

#### 3. GOALS

- a. To enhance the Albany Police Department EST (Emergency Services Team) mission accomplishment by providing immediate medical intervention for officers, suspects and civilians
- b. Conduct medical threat assessment, gather medical intelligence and formulate plans to incorporate injury control strategies during operations and training sessions
- c. Reduce the potential for death, injury and illness as it relates to tactical operations and scene safety.

### 4. POLICY and PROCEDURES

Albany Fire Department Tactical Medic Team members are direct support members of the Albany Police Department EST (Emergency Services Team) and report directly to the EST Command Officer. The Tactical Medic Team members may be activated with the authority of the APD EST Command Officer when there is a potential need for immediate medical support based on the size and complexity of a planned / unplanned event. Under these circumstances Tactical Medic Team members must notify the On Duty Battalion Chief and report directly to the Incident Commander of an event or when assigned, to Team Leaders or Supervisors when delegated by the Incident Commander.

#### 5. MEMBER SELECTION

Member selection for the Albany Fire Department Tactical Medic Team shall be based upon completion of a physical ability test administered by the APD Emergency Services Team. Members of the team will be required to maintain fitness in order to complete a physical ability test annually.

Members successfully completing the physical ability test would then be interviewed by the members of the APD Emergency Services Team. Final selection to the team is based on approval of the Medical Director, seniority and "8-mile" recall status, and completion of all prescribed training.

Team shall be made up of eight (8) members. Promotions up to the rank of Captain shall not preclude a member remaining on the team, once assigned.

Member selection for the Albany Fire Department Tactical Medic Team shall be on a voluntary basis by Strict Seniority as put forth in the Collective Bargaining Agreement between the City of Albany and the APPFA.

#### MEMBER REMOVAL

Recommendation for removal from the Tactical Medic Team of a member of the Team may be made for violation of these protocols and/or for not maintaining the Team requirements.

The Tactical Medic Team Member will be notified in writing of any alleged violation(s) or non-compliance.

The Tactical Medic Team Member will be given the opportunity to respond in writing (within 5 Business days), and an opportunity to correct the alleged violation(s) within 30 calendar days (the Chief may permit a longer period of time if circumstances show a need).

Removal of Tactical Medic Team Member can be effected following a joint meeting of the Chief of Department or his/her designee and the President of the APPFA or his/her designee.

#### 7. TRAINING

<u>Detailed</u> Training Plans must be submitted on a monthly basis, at least one week prior to the training date, to the Chief of Department or his/her designee for approval.

Training hours will not exceed one (1) eight (8) hour session and one (1) four hour session per month.

#### 8. COMPENSATION

Compensation will not exceed one (1) eight (8) hour session and one (1) four hour session per month and will be submitted for prevailing current rank overtime or as Compensatory Time. Compensation for documented activation will also be submitted for prevailing current rank overtime or as Compensatory Time.

Tactical Medic Team Members must follow AFD Procedures for timely Overtime submission.

### 9. RECORD KEEPING

Documentation of Tactical Medic Team Members shall be recorded in accordance with Albany Fire Department Training Guidelines. All Records and Documents must be kept up to date, on a monthly basis, and shall include the following:

- a. Team Member Training
- b. Team Members Activated
- c. Date of Training or Activation
- d. Length of Training or Activation
- e. Location of Training or Activation
- f. Corresponding Run Number (if applicable)

The information described above is not to be considered all inclusive.

Date: 07/21/2022

Signed:

Joseph W. Gregory

Chief of Department

Robert Mengel

President, APPFA

APPENDIX "J"
Peer Support Team Protocols
(dated, March 2021)



## **ALBANY FIRE DEPARTMENT**

# **Peer Support Team Protocols**

### 1. MISSION STATEMENT

The mission of the Albany Fire Department Peer Support Team is to effectively recognize and respond to another Firefighter or family member who is experiencing emotional or physical symptoms to acute high stress incidents. The Albany Fire Department Peer Support Team will respond by listening, referring and supporting those in need.

#### PURPOSE

The Purpose of the Albany Fire Department Peer Support Program is to prevent or lessen the potential negative impact of stress upon a member by providing emotional support, information and assistance. The program will provide mental and emotional support through one-on-one discussion, education, family support, immediate support and post incident follow up.

#### GOALS

- a. Advocating recognition and awareness of mental and emotional wellness.
- b. To provide resources to members and their families during personal and professional crisis.
- c. To serve as a liaison between members and support resources.
- d. To build a well-rounded program that focuses on maintaining confidentiality and building trust.
- e. Identify and vet additional resources that could benefit anyone that needs assistance outside the scope of the Peer Support Program.

#### 4. POLICY and PROCEDURES

Peer Support is designed to allow individuals to connect through direct conversation by sharing relevant experience and knowledge. It is simply offering emotional and social support. Peer Support Team members are not a substitute for professional counseling. Peer Support Team Members shall not hamper or impede any investigation or attempt to act as a representative for the recipient of services. Participation in the Peer Support Process is meant to be an extra available resource for members to utilize, when needed.

## 5. CONFIDENTIALITY AGREEMENT

Peer support is a confidential program. Members must pledge that they shall not discuss information obtained while acting in a Peer Support capacity with anyone other than the Mental Health Professional or his/her designee for the purpose of mental health support unless required by law. Peer Support Team members shall not divulge information with other employees, family members, friends, or the general public unless the recipient of services provides written authorization to disclose said information.

#### 6. MEMBER SELECTION

Member selection for the Albany Fire Department Peer Support Team shall be on a voluntary basis by Strict Seniority as put forth in the Collective Bargaining Agreement between the City of Albany and the APPFA. Team shall be made up of eight (8) members.

#### 7. MEMBER REMOVAL

Recommendation for removal from the Peer Support Team of a member of the Team may be made for violation of these protocols and/or for not maintaining the Team requirements.

The Peer Support Team Member will be notified in writing of any alleged violation(s) or non-compliance.

The Peer Support Team Member will be given the opportunity to respond in writing (within 5 Business days), and an opportunity to correct the alleged violation(s) within 30 calendar days (the Chief may permit a longer period of time if circumstances show a need).

Removal of Peer Support Team Member can be effected following a joint meeting of the Chief of Department or his/her designee and the President of the APPFA or his/her designee.

#### 8. TRAINING

Training Plans must be submitted at least one month in advance to the Chief of Department or his/her designee for approval. Training hours will be a maximum of 24 hours per year (January through December). Training will be documented in the authorized Training Records (ie Target Solutions).

#### 9. COMPENSATION

Compensation for Training while actively participating on the Peer Support Team will be for up to 24 hrs per year per member and may be submitted for prevailing current rank overtime or as Compensatory Time. Compensation for documented activation may also be submitted for prevailing current rank overtime or as Compensatory Time. Peer Support Team Members must follow AFD Procedures for timely Overtime submission.

### 10. RECORD KEEPING

Documentation of Peer Support Team Members shall be kept up to date, on a monthly basis and shall include the following:

- a. Team Members Activated
- b. Date of Activation
- c. Length of Activation
- d. Location of Activation (without breaking Confidentiality Clause)
- e. Corresponding Run Number (if applicable)

#### NOTE:

- i. NO RECORD OF MEMBER NAMES OR SPECIFICS DISCUSSED TO BE INCLUDED IN DEPARTMENT RECORDS.
- ii. Records will be kept in a secure location at Albany Fire Department Headquarters (in a place to be determined)

The information described above is not to be considered all inclusive.

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